

Detection of Light

Detection of Light provides a comprehensive overview of the important approaches to photon detection from the ultraviolet to the submillimeter spectral regions. This expanded and fully updated second edition discusses recently introduced types of detector such as superconducting tunnel junctions, hot electron bolometer mixers, and fully depleted CCDs, and also includes historically important devices such as photographic plates. Subject matter from many disciplines is combined into a comprehensive and unified treatment of the detection of light, with emphasis on the underlying physical principles. Chapters have been thoroughly reorganized to make the book easier to use, and each includes problems with solutions as appropriate. This self-contained text assumes only an undergraduate level of physics, and develops understanding as it is needed. It is suitable for advanced undergraduate and graduate students, and will provide a valuable reference for professionals in astronomy, engineering, and physics.

GEORGE RIEKE is a Professor of Astronomy and Planetary Sciences at the University of Arizona. After receiving his Ph.D. in gamma-ray astronomy from Harvard University, he focused his work on the infrared and submillimeter spectral ranges. He has been involved in instrumentation and detectors throughout his career, applying them to the studies of planets, forming stars, active galactic nuclei, and starburst galaxies. Rieke has also helped to establish the foundations of infrared astronomy in areas such as calibration and instrumental techniques, and is author or co-author of over 300 publications in these areas.



Detection of Light

From the Ultraviolet to the Submillimeter

SECOND EDITION

G. H. RiekeUniversity of Arizona





PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS
The Edinburgh Building, Cambridge CB2 2RU, UK
40 West 20th Street, New York, NY 10011-4211, USA
477 Williamstown Road, Port Melbourne, VIC 3207, Australia
Ruiz de Alarcón 13, 28014 Madrid, Spain
Dock House, The Waterfront, Cape Town 8001, South Africa

http://www.cambridge.org

© Cambridge University Press 1994, 2003

This book is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 1994 Reprinted 1996 First paperback edition 1996 Second edition 2003

Printed in the United Kingdom at the University Press, Cambridge

Typefaces Times 10.25 / 13.5 pt and Joanna System \LaTeX [TB]

A catalogue record for this book is available from the British Library

Library of Congress Cataloguing in Publication data

Rieke, G. H. (George Henry)

Detection of light: from the ultraviolet to the submillimeter / G. H. Rieke. – 2nd ed. p. cm.

Includes bibliographical references and index.

ISBN 0 521 81636 X – ISBN 0 521 01710 6

1. Optical detectors. I. Title.

QC373.059 R54 2002

621.36'2 – dc21 2002023375

ISBN 0 521 81636 X hardback ISBN 0 521 01710 6 paperback



Contents

Preface ix

Introduction 1

1.1	Radiometry 1
1.2	Detector types 8
1.3	Performance characteristics 8
1.4	Solid state physics 17
1.5	Superconductors 24
1.6	Examples 25
1.7	Problems 27
	Notes 29
	Further reading 29
2	Intrinsic photoconductors 31
2.1	Basic operation 32
2.2	Limitations and optimization 39
2.3	Performance specification 50
2.4	Example: design of a photoconductor 53
2.5	Problems 54
	Notes 56
	Further reading 56



vi		Contents
3	Extrinsic photoconductors 57	
3.1	Basics 58	
3.2	Limitations 61	
3.3	Variants 68	
3.4	Problems 76	
	Note 77	
	Further reading 77	
4	Photodiodes and other junction-based detectors 78	
4.1	Basic operation 79	
4.2	Quantitative description 84	
4.3	Photodiode variations 96	
4.4	Quantum well detectors 103	
4.5	Superconducting tunnel junctions (STJs) 109	
4.6	Example 113	
4.7	Problems 113	
	Further reading 115	
5	Amplifiers and readouts 116	
5.1	Building blocks 116	
5.2	Load resistor and amplifier 119	
5.3	Transimpedance amplifier (TIA) 120	
5.4	Integrating amplifiers 125	
5.5	Performance measurement 134	
5.6	Examples 139	
5.7	Problems 142	
	Further reading 143	
6	Arrays 145	
6.1	Overview 146	
6.2	Infrared arrays 147	
6.3	Charge coupled devices (CCDs) 151	
6.4	CMOS imaging arrays 175	
6.5	Direct hybrid PIN diode arrays 176	
6.6	Array properties 176	
6.7	Example 181	
6.8	Problems 183	



	Contents	vii
	Notes 185	
	Further reading 185	
7	Photoemissive detectors 187	
7.1	General description 187	
7.2	Photocathode behavior and photon detection limits 193	
7.3	Practical detectors 195	
7.4	Vacuum tube television-type imaging detectors 211	
7.5	Example 213	
7.6	Problems 215	
	Further reading 216	
8	Photography 217	
8.1	Basic operation 217	
8.2	Underlying processes 219	
8.3	Characteristic curve 224	
8.4	Performance 226	
8.5	Example 235	
8.6	Problems 236	
	Further reading 237	
9	Bolometers and other thermal detectors 238	
9.1	Basic operation 239	
9.2	Detailed theory of semiconductor bolometers 240	
9.3	Superconducting bolometers 250	
9.4	Bolometer construction and operation 254	
9.5	Other thermal detectors 264	
9.6	Operating temperature 268	
9.7	Example: design of a bolometer 271	
9.8	Problems 273	
	Note 274	
	Further reading 274	
10	Visible and infrared coherent receivers 275	
10.1	Basic operation 275	
10.2	Visible and infrared heterodyne 279	
10.3	Performance attributes of heterodyne receivers 286	



viii	Contents
10.4	Test procedures 296
10.5	Examples 297
10.6	Problems 300
	Notes 301
	Further reading 301
11	Submillimeter- and millimeter-wave heterodyne receivers 302
11.1	Basic operation 302
11.2	Mixers 306
11.3	Performance characteristics 320
11.4	Local oscillators 322
11.5	Problems 326
	Notes 327
	Further reading 330
12	Summary 331
12.1	Quantum efficiency and noise 331
12.2	Linearity and dynamic range 332
12.3	Number of pixels 332
12.4	Time response 333
12.5	Spectral response and bandwidth 334
12.6	Practical considerations 334
12.7	Overview 335
12.8	Problems 335
	Note 336
	Further reading 336
	Appendices
A	Physical constants 338
В	Answers to selected problems 339
	References 342
	Index 356



Preface

This book provides a comprehensive overview of the important technologies for photon detection from the millimeter-wave through the ultraviolet spectral regions. The reader should gain a good understanding of the similarities and contrasts, the strengths and weaknesses of the multitude of approaches that have been developed over a century of effort to improve our ability to sense photons. The emphasis is always upon the methods of operation and physical limits to detector performance. Brief mention is sometimes made of the currently achieved performance levels, but only to place the broader physical principles in a practical context.

Writing is a process of successive approximations toward poorly defined goals. A second edition not only brings a book up to date, it also allows reconsideration of the goals and permits a new series of approximations toward them. Specific goals for this edition are to:

- Provide a bridge from general physics into the methods used for photon detection;
- Guide readers into more detailed and technical treatments of individual topics;
- Give a broad overview of the subject;
- Make the book accessible to the widest possible audience.

Based on the extensive survey of the literature that accompanied preparation of this edition, these goals have led to a unique book. It combines subject matter from many disciplines that usually have little interaction into a comprehensive treatment of a unified topic (in preparing the book, I frequented at least a dozen distinct areas in the library!).



x Preface

I have restricted the physics assumed in the book very strictly to the level attainable after only a semester or two of college-level physics with calculus. To supplement this minimal background, the first chapter includes overviews of radiometry and solid state physics. Although many readers may want to skim this material, it gives others with less preparation a reasonable chance of understanding the rest of the book. Although the required preparation is modest, the subject matter is carried to a reasonably advanced level from the standpoint of the underlying physics. Because the necessary physics is developed within the discussion of detectors, the book should be self-contained for those who are outside a classroom environment.

The discussion is designed to interface smoothly with the specialized literature in each area. In fact, since the writing of the first edition many books and review articles have appeared on specific topics in photon detection. The possibilities for further exploration of the subjects introduced here are therefore much richer than before. This book is designed to bridge between general physics and these other books and reviews with their focus on advanced topics and their assumption of a substantial specialized knowledge of the relevant physics. Each chapter ends with a short listing of recommended sources for further reading, details of which are given in References. I have followed the suggestions of some reviewers of the first edition and separated the bibliography into sections for each chapter to help readers move even further into the literature on topics of interest to them.

Although restricting the level of assumed physics is a first step toward a widely accessible book, it is not sufficient. As with most books, this one is probably best read from beginning to end in the order of presentation. Doing so will reward the reader with a broad and self-consistent understanding of photon detection that would be difficult to obtain from any other source. However, I am aware that very few readers will take this approach. A number of features should help one read the book in a more normal if less organized fashion.

- Each chapter begins with a single-paragraph overview of its contents. These
 paragraphs are a bit like abstracts of the chapter and should be of interest to
 readers who will skip reading the chapter but would like a short summary of
 its contents.
- The first major section of each chapter gives a more detailed summary, but still a summary. This section will help place the more detailed discussions in the following sections into context.
- Each chapter is reasonably self-contained. An exception is that Chapter 1, on background matters, and Chapter 2, which introduces many basic concepts, are recommended as general reading. Otherwise, the book is analogous to a series of interrelated short stories rather than to an elaborately plotted novel.
- Any unique symbols in equations are defined near the equation, not in some
 preceding chapter, even if the result is a small amount of repetition. Symbols
 are also included in the index (except for standard physical constants and
 parameters used only once in the text), which will lead readers to their initial



Preface xi

introductions in the book. As a result, it should be possible to pick an isolated topic and develop a reasonable understanding of it without assimilating all the preceding material.

Because of these features, there are many ways to read the book. Chapters 1, 2, 5, and 6 provide a focused text on solid state detectors and arrays for the visible. If desired, the treatment can be expanded to photodiodes by adding Chapter 4, to photomultipliers and image intensifiers with Chapter 7, and/or to photography with Chapter 8. A focus on near-infrared detectors and arrays can be obtained from Chapters 1, 2, 4, 5, and the first and last sections of Chapter 6. Mid- and far-infrared devices can be added by reading Chapter 3. Submillimeter approaches are discussed fully in Chapters 1, 9, 10, and 11. The book also will serve readers who want to sample broadly but not deeply, for whom simply reading the first section of each chapter should provide a largely nontechnical overview of all the detection approaches discussed.

Finally, an accessible book needs to be carefully organized and written, and I have paid very careful attention to these attributes. Technical books should be considered works of serious nonfiction, nothing more daunting. It is my hope that this book can be approached in a manner readers might use with other works of nonfiction, particularly if they leave the equations to provide deeper levels of meaning on a second reading.

So far as seemed reasonable, MKS (meter, kilogram, second) units have been used. There are some cases, however, in which some other unit is predominant in usage, frequently because it has a "natural" size for the application. In these cases, the commonly employed system has been used in tabulations of parameters to maintain continuity with other literature. However, formulas are in MKS. Errors can be easily avoided by rigorously carrying units through all calculations. A table of the important physical constants is included as Appendix A; it also includes selected conversions from "conventional" units to MKS.

I am indebted to many people for making me aware of resources and for assistance in reviewing the material for the first edition, including John Bieging, Mike Cobb, Rich Cromwell, John Goebbel, Art Hoag, Jim Kofron, Michael Kriss, Frank Low, Craig McCreight, Bob McMurray, Harvey Moseley, Paul Richards, Fred, Marcia, and Carol Rieke, Gary Schmidt, Bill Schoening, Michael Scutero, Ben Snavely, Chris Walker, and Erick Young. They have been augmented by Jason Glenn, Nancy Haegel, George Jacoby, Gerry Neugebauer, Cynthia Quillen, and Tom Wilson for the second one. I thank Karen Visnovsky and Karen Swarthout for their assistance with the first edition, much of which is carried over into the second one. I also thank a number of classes of students at the University of Arizona for their comments on the lecture notes that evolved into this text.

Any corrections, suggestions, and comments will be received gratefully. They can be addressed to the author at grieke@as.arizona.edu.

George Rieke