Analyzing Grammar

Analyzing Grammar is a clear introductory textbook on grammatical analysis, designed for students beginning to study the discipline. Covering both syntax (the structure of phrases and sentences) and morphology (the structure of words), it equips them with the tools and methods needed to analyze grammatical patterns in any language. Students are shown how to use standard notational devices such as Phrase Structure trees and word-formation rules, as well as prose descriptions, and are encouraged to practice using these tools through a diverse range of problem sets and exercises. Emphasis is placed on comparing the different grammatical systems of the world's languages. Topics covered include word order, constituency, case, agreement, tense, gender, pronoun systems, inflection, derivation, argument structure, and Grammatical Relations, and a useful glossary provides a clear explanation of each term.

PAUL R. KROEGER is Associate Professor and Head of the Department of Applied Linguistics at the Graduate Institute of Applied Linguistics, Dallas. He has previously published *Phrase Structure and Grammatical Relations in Tagalog* (1993) and *Analyzing Syntax* (Cambridge University Press, 2004). He has carried out linguistic fieldwork in East Malaysia, and has written for many journals including *Pacific Linguistics, Oceanic Linguistics*, and the *Philippine Journal of Linguistics*.

Analyzing Grammar An Introduction

PAUL R. KROEGER





University Printing House, Cambridge CB2 8BS, United Kingdom

Cambridge University Press is part of the University of Cambridge.

It furthers the University's mission by disseminating knowledge in the pursuit of education, learning and research at the highest international levels of excellence.

www.cambridge.org Information on this title: www.cambridge.org/9780521016537

© Paul R. Kroeger 2005

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2005 11th printing 2015

Printed in the United Kingdom by Clays, St Ives plc.

A catalogue record for this publication is available from the British Library

Library of Congress Cataloging in Publication data Kroeger, Paul, 1952– Analyzing grammar : an introduction / Paul R. Kroeger. p. cm. Includes bibliographical references and index. ISBN 0-521-81622-9 – ISBN 0-521-01653-3 (pbk.) 1. Linguistic analysis (Linguistics) 2. Grammar, Comparative and general. I. Title. P126.K76 2005 415–dc22 2004057104

ISBN 978-0-521-81622-9 hardback

ISBN 978-0-521-01653-7 paperback

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such, websites is, or will remain, accurate or appropriate. Information regarding prices, travel timetables and other factual information given in this work are correct at the time of first printing but Cambridge University Press does not guarantee the accuracy of such information thereafter.

For Sarah, Ruth, and Katie

Contents

	Pref	ace and acknowledgments	<i>page</i> xi
	List	of abbreviations	xiv
1	Grai	nmatical form	1
•	1.1	Form, meaning, and use	1
	1.2	•	2
		Grammar as a system of rules	4
		Conclusion	5
2	Analyzing word structure		7
	2.1		7
	2.2		12
	2.3	1	14
	2.4		18
	2.5		22
		Exercises	24
3	Con	stituent structure	26
	3.1	Ambiguity	26
	3.2	Constituency	28
	3.3	Hierarchy	32
	3.4	Syntactic categories	33
	3.5		
		clause	38
	3.6	Pronouns and proper names as phrasal categories	44
	3.7	Conclusion	46
		Practice exercises	47
		Exercises	47
4	Sem	51	
	4.1	Simple sentences and propositions	52
	4.2	Arguments and semantic roles	53
	4.3	Grammatical Relations	55
	4.4	Adjuncts vs. arguments	58

viii	Contents			
	4.5	"Indirect objects" and secondary objects	61	
	4.6	Conclusion	62	
		Exercises	63	
5	Lex	ical entries and well-formed clauses	66	
	5.1	Lexical entries	66	
	5.2	Argument structure and subcategorization	67	
	5.3	Properties of a well-formed clause	72	
	5.4	Uniqueness of oblique arguments	79	
	5.5	Zero-anaphora ("pro-drop")	79	
	5.6	Further notes on English Phrase Structure	81	
	5.7	Conclusion	83	
		Exercises	83	
6	Nou	n Phrases	87	
	6.1	Complements and adjuncts of N	87	
	6.2	Determiners	89	
	6.3	Adjectives and Adjective Phrases (AP)	90	
	6.4	Possession and recursion	92	
	6.5	English NP structure (continued)	97	
	6.6	Conclusion	98	
		Practice exercise	98	
		Exercises	98	
7	Case and agreement		102	
	7.1	Case	102	
	7.2	Agreement	111	
	7.3	Conclusion	118	
		Exercises	119	
8	Nou	n classes and pronouns	128	
	8.1	Noun classes and gender	128	
	8.2	Pronouns	135	
		Exercises	143	
9	Tens	se, Aspect, and Modality	147	
	9.1	Tense	147	
	9.2	Aspect	152	
	9.3	Perfect vs. perfective	158	
	9.4	Combinations of tense and aspect	161	
	9.5	Mood	163	
	9.6	Modality	165	
	9.7	Conclusion	168	
		Exercises	169	

			Contents	i
10	Non-v	verbal predicates	173	
		Basic clause patterns with and without the copula	174	
		Existential and possessive clauses	180	
		Cross-linguistic patterns	181	
		A note on "impersonal constructions"	185	
		Further notes on the predicate complement		
		(XCOMP) relation	187	
	10.6	Conclusion	189	
	10.0	Exercises	190	
11	-	al sentence types	196	
	11.1	Direct vs. indirect speech acts	196	
	11.2	Basic word order	197	
	11.3	Commands (imperative sentences)	199	
	11.4	Questions (interrogative sentences)	203	
	11.5	Negation	211	
	11.6	Conclusion	214	
		Practice exercise	214	
		Exercises	215	
12	Subor	dinate clauses	218	
		Coordinate vs. subordinate clauses	218	
		Complement clauses	220	
		Direct vs. indirect speech	224	
		Adjunct (or Adverbial) clauses	227	
		Relative clauses	230	
		Conclusion	240	
	12.0	Practice exercise	240	
		Exercises	241	
			241	
13	Deriv	ational morphology	247	
		Stems, roots, and compounds	248	
	13.2	Criteria for distinguishing inflection vs. derivation	250	
	13.3	Examples of derivational processes	253	
	13.4	Word structure revisited	259	
	13.5	Conclusion	265	
		Practice exercise	265	
		Exercises	266	
14	Valen	ce-changing morphology	270	
	14.1	Meaning-preserving alternations	271	
	14.2		277	
		Incorporation	280	
	14 7			

x	Contents			
		Practice exercises	283	
		Exercises	284	
15	Allon	norphy	288	
	15.1		290	
		Morphophonemic changes	292	
		Rules for suppletive allomorphy	296	
		Inflectional classes	297	
	15.5	Conclusion	299	
		Practice exercises	301	
		Exercises	302	
16	Non-linear morphology		304	
10		Non-linear sequencing of affixes	305	
		Modifications of phonological features	307	
	16.3		309	
	16.4		312	
	16.5	Conclusion	313	
		Exercises	314	
17	Clitic	2	316	
17		What is a "word?"	317	
	17.2		319	
	17.3	• •	325	
		Conclusion	329	
		Practice exercise	329	
		Exercises	330	
	Appe	ndix: Swahili data for grammar sketch	334	
	Gloss	-	341	
	Refer	-	352	
	•	uage index	360	
	Subject index		362	

Preface and acknowledgments

This book provides a general introduction to morphology (the structure of words) and syntax (the structure of phrases and sentences). By "general" I mean that it is not specifically a book about the grammar of English, or of any other particular language. Rather, it provides a foundation for analyzing and describing the grammatical structure of any human language. Of course, because the book is written in English it uses English examples to illustrate a number of points, especially in the area of syntax; but examples from many other languages are discussed as well.

The book is written for beginners, assuming only some prior knowledge of the most basic vocabulary for talking about language. It is intended to be usable as a first step in preparing students to carry out fieldwork on underdescribed languages. For this reason some topics are included which are not normally addressed in an introductory course, including the typology of case and agreement systems, gender systems, pronoun systems, and a brief introduction to the semantics of tense, aspect, and modality. This is not a book about linguistic field methods, but issues of methodology are addressed in various places. The overall goal is to help students write good descriptive grammars. Some basic formal notations are introduced, but equal emphasis is given to prose description of linguistic structures.

In this book I am chiefly concerned with structural issues, but I do not attempt to teach a specific theory of grammatical structure. My basic assumptions about how human grammars work are those of Lexical Functional Grammar (LFG; see Bresnan 2001 and references cited there), but I have adopted a fairly generic approach which will hopefully be usable by teachers from a wide variety of theoretical backgrounds. For the sake of simplicity, I have adopted some analyses which are different from the standard LFG approach, e.g. the treatment of "pro-drop" in chapter 5. The main features of the book which are distinctive to LFG are the well-formedness conditions outlined in chapter 5 and the inventory of Grammatical Relations (including OBL_{θ} and XCOMP).

It is somewhat unusual for a single textbook to deal with both morphology and syntax. In adopting this broad approach, the present work follows and builds on a tradition of grammar teaching at various training schools of the Summer Institute of Linguistics (SIL). Earlier work in this tradition includes Pike and Pike (1982); Elson and Pickett (1988); Thomas et al. (1988); CAMBRIDGE

xii Preface and acknowledgments

Healey (1990a); Bickford (1998); and Payne (2002, MS). Bickford's book, in particular, has had a major influence on this one in terms of scope and organization, and in a number of specific details cited in the text.

Teaching morphology is much easier if the students have some basic background in phonology. For this reason, most of the chapters dealing with morphology are clustered at the end of the book (chapters 13–17), for the benefit of students who are concurrently taking a first course in phonology. In situations where this is not a factor, those chapters could be taught earlier, though some of the exercises assume material taught in previous chapters. Chapters 3–5 are a tightly knit unit and should be taught in that order; with the other chapters, the ordering is probably less crucial. Chapters 9 (Tense, Aspect, and Modality systems) and 17 (clitics) are relatively independent of the rest of the book, and could probably be taught wherever the instructor wants to fit them in.

The contents of this book can be presented in a standard semester-length course. However, this material is intended to be reinforced by having students work through large numbers of data analysis exercises. Many teachers have found the exercises to be the most important part of the course. In addition, it is very helpful to assign a longer exercise as a final project, to give students some practice at writing up and integrating their analyses of various aspects of the grammar of a single language. (A sample of such an exercise, using Swahili data, is included as an appendix at the end of the book.) For most beginning students, extra tutorial hours or "lab sessions" will be needed to complete all of these components in one semester.

Some data exercises are included at the end of each chapter, except chapter 1. Those labelled "Practice exercises" are suitable for classroom discussion; the others can be used for either homework or tutorial sessions. Model answers for some of these exercises are available from the author. For most chapters, additional exercises are suggested from two source books: Merrifield et al. (1987) and Healey (1990b). Of course, similar exercises are available from many other sources as well, and instructors should feel free to mix and match as desired. The discussion in the text does not generally depend on the students having worked any specific exercise, except for exercise 3A(ii) at the end of chapter 3, which is referred to several times.

(A new edition of the Merrifield volume was published in 2003; it contains the same exercises as the 1987 edition with some orthographic changes. A few of the data sets have been re-numbered, but there is a table at the beginning of the 2003 edition listing the changes in numbering. Numbers cited in the present book refer to the 1987 edition.)

So many people have helped me with this project that I cannot list all of their names. Special thanks must go to Joan Bresnan, René van den Berg, Dick Watson, Bill Merrifield, John Roberts, and Marlin Leaders for their contributions. To all of the others, I offer my thanks with apologies for not naming them individually. Thanks also to my students in Singapore,

Preface and acknowledgments xiii

Darwin, and Dallas who have pushed me to clarify many issues with their insightful questions and suggestions, and to my long-suffering family for their encouragement and support.

The copyright for data exercises that I have cited from Merrifield et al. (1987); Roberts (1999); Healey (1990b); and Bendor-Samuel and Levinsohn (1986) is held by SIL International; these exercises are used here by permission, with thanks.

Abbreviations

_	affix boundary
=	clitic boundary
[]	constituent boundaries
*	ungrammatical
#	semantically ill-formed or inappropriate in context
?	marginal or questionable
%	acceptable to some speakers
(X)	optional constituent
*(X)	obligatory constituent
Ø	null (silent) morpheme
1	1st person
2	2nd person
3	3rd person
А	transitive agent; Actor
A(dj)	adjective
ABIL	abilitative mood
ABL	ablative case
ABS	absolutive
ACC	accusative
ACT	active voice
Adv	adverb
ADVBL	adverbializer
ADVRS	adversative
AGR	agreement
agt	agent
AP	Adjective Phrase
APPL(IC)	applicative
ASP	aspect
ASSOC	associative
AUX	auxiliary
BEN	benefactive
С	consonant
CAT	syntactic category
CAUS	causative
CLASS	classifier

xiv

Abbreviations xv

COMIT	comitative (accompaniment)
СОМР	complementizer
CONCESS	concessive
CONJ	conjunction
CONT	continuous
COP	copula
DAT	dative
DEB	debitive (must/ought) desiderative
DESID	determiner
DET DIR	directional
DIRECT	direct knowledge (eye-witness) dual
DU(AL)	dubitative
DUB DV	
	dative voice (Tagalog)
ERG	ergative evidential
EVID	exclusive
EX(CL)	existential
EXIST	feminine
F(EM)	
FOC	focus future tener
FUT	future tense
GEN	genitive
GR	Grammatical Relation
HIST.PAST	historic past
HORT	hortative
IMPER	imperative
	imperfective
IN(CL)	inclusive
INAN	inanimate
INDIRECT	indirect knowledge (hearsay)
INF	infinitive
INSTR	instrumental
INTERROG	interrogative
10	indirect object
IRR	irrealis
IV	instrumental voice (Tagalog)
LNK	linker
LOC	locative
M(ASC)	masculine
N N/ / J	Noun
N' / N	N-bar (see Glossary)
NEG	negative
N(EUT)	neuter

xvi Abbreviations	
NMLZ	nominalizer
NOM	nominative
NONPAST	nonpast tense
NP	Noun Phrase
OBJ	primary object
O(BJ).AGR	object agreement
OBJ ₂	secondary object
OBL	oblique argument
OPT	optative
OV	objective voice (Tagalog)
Р	(1) preposition; (2) transitive patient
PASS	passive
PAST	past tense
pat	patient
PERF	perfect
PERM	permissive
PERS	personal name
PFV	perfective
pl / pl / p	plural
POSS	possessor
PP	Prepositional Phrase
PRE	prefix
PRED	predicate
PRES	present tense
pro/pro	pronoun (possibly null)
PROG	progressive
PRT	particle
PS	Phrase Structure
Q(UES)	question
QUOT	quote marker
REC(IP)	recipient
REC.PAST	recent past tense
RECIP	reciprocal
REDUP	reduplication
REL	relativizer
REPORT	reportative
S S/ / ā	(1) sentence or clause; (2) intransitive subject
S' / \$	S-bar (see Glossary)
SBJNCT	subjunctive
sg /sg / s	singular
STAT	stative
S(UBJ)	subject
S(UBJ).AGR	subject agreement
SUBORD	subordinate

Abbreviations xvii

SUFF	suffix
TAM	Tense-Aspect-Modality
th	theme
TNS	tense
TODAY	today past
V	(1) verb; (2) vowel
VP	Verb Phrase
WFR	Word Formation Rule
WH	Wh- question marker
X*	a sequence of zero or more Xs (X is any unit)
XCOMP	predicate complement
XP	phrase of any category
YNQ	Yes–No question