

Contents

<i>Foreword by William R. Cornish</i>	<i>page xv</i>
<i>Acknowledgments</i>	xvi
<i>Table of cases</i>	xvii
<i>Table of legislation</i>	xxiii
<i>Table of European Union legislation</i>	xxxiv
<i>Table of treaties, conventions, other international and regional instruments</i>	xxxviii
1 Introduction	1
Why have databases become an important issue	2
The structure of this book	3
2 Some basic principles	10
Basic copyright principles concerning databases	11
Compilations and collections	11
Originality	13
The 'sweat of the brow' approach	14
An intellectual creation	15
European standards of originality	16
The spectrum of originality	17
Originality as it applies to compilations and databases	17
Authorship	21
Some technical aspects of database creation	22
Infringement	24
A substantial part of a work: qualitative rather than quantitative tests	25
Rights that are infringed	28
Right of reproduction	29
Right of rental	31
Right of distribution	31
Right of communication to the public	31
Protection against circumvention of technological protection devices	32
Exceptions to copyright	32
Compulsory licensing	34
Summary of copyright	36

viii	Contents	
	Principles of unfair competition	37
	Contract law and databases	40
	Competition law	43
	The paradigm does not fit	43
	The legal model for protection may generate the possibility of legitimate market power being created	46
	The logistics of government regulation	47
	Government policy towards competition law	48
	Aspects of distributive justice	48
3	Protection of databases in the EU	50
	History of the Directive	51
	The Green Paper	52
	The First Draft	53
	Justification for a Directive	54
	Definition of a database	54
	Copyright in a database	54
	Relationship to copyright in computer programs	55
	Exceptions to copyright	55
	Relationship between copyright and contract law	56
	The <i>sui generis</i> right	57
	Compulsory licensing	57
	Exceptions to the <i>sui generis</i> right	58
	Term of protection	59
	Protection for databases outside the EU	59
	Retrospectivity	59
	Preservation of other legal provisions	59
	Summary of the First Draft	60
	Opinion of the Economic and Social Committee of the Council	60
	Definition of a database	62
	Protection for databases outside the EU	63
	Duration of protection	63
	Authorship of databases and circumvention of technological protection of databases	64
	Summary of the Committee's Opinion	64
	Amendments to the Directive by the European Parliament	65
	Definition of a database	66
	The <i>sui generis</i> right	66
	Compulsory licensing	66
	Exceptions to the <i>sui generis</i> right	67
	The duration of protection	67
	Summary of the 1993 Amendments	67
	The common position of 10 July 1995	68
	The final version of the Directive	68
	The recitals	69
	The need for uniform laws	69
	Explanation of the substantive provisions of the Directive	70
	Scope of the Directive and the definition of a database	70
	Computer programs	74
	Copyright in databases	75

Contents	ix
Authors of databases	76
Restricted acts	76
Exceptions to copyright in databases	77
The <i>sui generis</i> right	81
The maker of a database	82
A qualitatively or quantitatively substantial investment in obtaining, verifying or presenting	83
Right to prevent extraction and/or re-utilisation	87
Infringement of the right of extraction and re-utilisation	89
Exceptions to the <i>sui generis</i> right	91
Duration of the <i>sui generis</i> right	92
Retrospectivity	93
Territorial qualification for protection	97
Compulsory licensing and competition law	97
Saving of existing legal regimes	98
Final provisions	98
Summary of the Directive	99
Circumvention of protection measures	100
4 Transposition of the Directive	103
Belgium	109
Copyright before and after transposition	109
Unfair competition laws	111
<i>Sui generis</i> protection	111
Right to extract or re-utilise an insubstantial part	112
Exceptions	113
Term of protection	113
France	113
Copyright before and after transposition	113
Unfair competition law	115
<i>Sui generis</i> protection	116
Right to extract or re-utilise an insubstantial part	117
Exceptions	117
Term of protection	118
Germany	118
Copyright before and after transposition	118
Unfair competition laws	123
<i>Sui generis</i> protection	124
Right to extract or re-utilise an insubstantial part	125
Exceptions	126
Term of protection	126
Ireland	126
Copyright protection before and after transposition	126
Unfair competition laws	127
<i>Sui generis</i> protection	128
The right to extract or re-utilise an insubstantial part	128
Exceptions	128
Term of protection	128
Licensing schemes	128
Technological protection measures	129

x	Contents	
	Italy	129
	Copyright before and after transposition	129
	Unfair competition laws	131
	<i>Sui generis</i> protection	132
	The right to extract or re-utilise an insubstantial part	133
	Exceptions	133
	The Netherlands	133
	Copyright before and after transposition of databases prior to the Directive	133
	Unfair competition laws	134
	<i>Sui generis</i> protection	135
	The right to extract or re-utilise an insubstantial part	136
	Exceptions	137
	Term of protection	137
	Spain	138
	Copyright before and after transposition	138
	Unfair competition laws	139
	<i>Sui generis</i> protection	140
	The right to extract or re-utilise an insubstantial part	140
	Exceptions	140
	Term of protection	140
	Sweden	141
	Copyright before and after transposition	141
	Unfair competition laws	142
	<i>Sui generis</i> protection	142
	United Kingdom	143
	Copyright before and after transposition	143
	Unfair competition laws	146
	<i>Sui generis</i> protection	147
	Right to extract or re-utilise an insubstantial part	151
	Exceptions	151
	Term of protection	151
	Licensing schemes	152
	Summary of the transposition of the Directive	152
	Harmonisation of copyright	152
	The investment necessary to qualify for <i>sui generis</i> protection	153
	Nature of the right and the test of infringement	155
	Definition of a lawful user	156
	Lack of harmonisation of the exceptions	156
	The period of protection	156
	Relationship to unfair competition laws	157
	Single source databases	157
	Conclusion	158
5	Protection of databases in the United States of America	160
	Copyright	162
	Some decisions since <i>Feist</i>	162
	Circumvention of technological measures	164
	The fair use defence	167
	Summary of the copyright position	170

Contents	xi
Nature and history of the American tort of misappropriation	171
<i>International News Service v. Associated Press</i>	172
Subject matter of protection	173
Protection against whom	174
Nature of the protection	174
The dissenting judgment in <i>International News Service v. Associated Press</i>	175
Summary of the position in <i>International News Service v. Associated Press</i>	176
The chequered history of the decision in <i>International News Service v. Associated Press</i>	178
Limitations on the scope of the tort of misappropriation	179
Pre-emption by the Federal Constitution and intellectual property legislation	180
Direct competition between the parties	183
Time-sensitivity	185
Reducing the plaintiff's incentive	187
Summary of American unfair competition law	189
Legislative proposals for <i>sui generis</i> protection	190
The Database Investment and Intellectual Property Antipiracy Bill of 1996	190
Definition of a database	191
The <i>sui generis</i> right	191
Comparisons with misappropriation	192
Comparisons with the Directive	192
The Collections of Information Antipiracy Bill 1997	193
Definition of a Database	194
Prerequisite for <i>sui generis</i> protection	194
Nature of the <i>sui generis</i> right	195
Potential market	195
Circumvention of database protection systems and protection of database management information	197
Permitted acts	197
Exclusions	198
Preservation of contract law and other legal regimes	199
Pre-emption of state law	199
Comparisons with the Directive	199
Comparisons with misappropriation	200
The Collections of Information Antipiracy Bill of 1999	200
Definition of a collection of information	201
Material harm	201
The market protected	202
A substantial part	203
Fair use	204
Reasonable uses for educational, scientific or research purposes	205
Other reasonable uses	206
Special provisions for securities and commodities market information and digital on-line communications	207
Special provisions regarding genealogical information	207
Investigative, protective or intelligence activities	207

xii	Contents	
	Computer programs and digital on-line communications	208
	Government collections of information	208
	Duration of protection	209
	Retrospectivity	211
	Remedies	211
	Study and report	211
	Comparisons with the Directive	211
	Comparisons with misappropriation	212
	The Consumer and Investor Access to Information Bill of 1999	213
	Summary of the American position	213
6	International aspects of protection of databases	217
	International agreements concerning copyright protection of databases	218
	National treatment, most favoured nation status and the Directive	221
	Public international obligations and the American legislation	226
	Steps towards a WIPO Treaty on the Protection of Databases	226
	The Draft Treaty	227
	Further moves towards a database treaty by WIPO	228
	WIPO information meeting on intellectual property in databases, Geneva, 17–19 September 1997	229
	Observations by WMO and UNESCO	229
	Outcome of the information meeting	230
	Summary of moves to adopt a database treaty	231
	EU and bilateral arrangements	234
	Conclusion	235
7	The appropriate model for the legal protection of databases	237
	The argument in favour of <i>sui generis</i> protection	239
	Economic theory	241
	Price discrimination	242
	The costs of intellectual property rights	244
	Rent seeking	245
	Loss of public good benefits	247
	Transaction costs	254
	Enforcement costs	257
	Limiting the costs of property rights	257
	Summary of economic theory	258
	Anecdotal and empirical evidence	259
	Evidence of the Directive's impact	263
	Non-economic roles of information	264
	Limits of the tragedy of the commons	266
	Examples of scientific cooperation	269
	The Health WIZ project	269
	World Meteorological Organization (WMO)	271
	Some suggestions for protection of databases	272
	Defining the subject matter of protection narrowly so as to avoid unnecessary and unintended consequences	273

Contents	xiii
Separation of the subject matter of <i>sui generis</i> protection for sweat of the brow from copyright protection	274
Differentiation of <i>sui generis</i> rights from copyright	275
Exceptions to copyright to permit use of underlying information	276
Exceptions to prohibitions on circumvention protection devices	277
An equivalent to the fair use defence	277
Relationship with contract law and compulsory licences	278
Modification of competition law principles	280
Duration of the period of protection	280
Remedies	281
Excise some areas of scientific cooperation from any treaty or legislation	282
Government information	282
Conclusion	283
Addendum	285
Canadian approach to originality	285
Reports to WIPO on the impact of database protection on developing countries	286
<i>Glossary</i>	288
<i>Bibliography</i>	290
<i>Index</i>	296