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DESIGN PATTERNS IN COMMUNICATIONS SOFTWARE

Edited by Linda Rising

Foreword by Douglas C. Schmidt
To the first TelePLoP guys at ChiliPLoP. This is your baby.

Ward Cunningham
Dennis DeBruler
David DeLano
Jim Doble
Bob Hanmer
John Letourneau
Greg Stymal
Greg Utas
And Cope, our shepherd!
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The Consequences of Information Technology Commoditization

INFORMATION TECHNOLOGY (IT) is rapidly becoming a commodity, where hardware and software artifacts get faster, cheaper, and better at a predictable pace. For the past decade we’ve benefited from the commoditization of hardware, such as CPUs and storage devices, and networking elements, such as IP routers. More recently, the maturation of programming languages, such as Java and C++, operating environments, such as POSIX and Java Virtual Machines, and middleware, such as CORBA and Enterprise Java Beans, are helping to commoditize many software layers and components, as well. Historically, however, the quality of commodity software – particularly communication software – has lagged behind hardware. Fortunately, recent improvements have enabled commodity off-the-shelf (COTS) software components to be used in an increasing number of mission-critical applications, ranging from avionics mission computing to hot rolling mills, backbone routers, and high-speed network switches.

The commoditization of IT has a number of consequences:

• Greater focus on integration rather than programming – There is an ongoing trend away from programming systems from scratch toward integrating them by configuring and customizing reusable frameworks and components. While it is possible in theory to program systems from scratch, economic and organizational constraints – as well as increasingly complex requirements and global competitive pressures – are making it infeasible economically to do so in practice. In the future, therefore, most systems will be configured by integrating reusable commodity components implemented by different suppliers.
Increased technology convergence and standardization – To leverage inexpensive commodity hardware and software effectively, application developers are converging toward fewer general-purpose tools, platforms, and methods than they’ve used in the past. For example, rather than choosing from dozens of programming languages, operating systems, network protocols, and middleware, newer systems are being developed with a relatively small number of common tools and platforms, such as C++, Java, UNIX, Windows NT, TCP/IP, and CORBA, many of which are industry standards. Likewise, there’s a general consolidation of development methods and modeling notations away from ad hoc technologies toward industry standards, such as UML.

Growing economies of scale for mass market technology and personnel – Due to technology convergence, there is an increasing abundance of good technology and personnel available at competitive prices for mainstream mass markets, such as e-commerce or consumer electronics built using general-purpose operating systems, languages, networks, and middleware. However, for niche markets – such as real-time embedded systems written using proprietary platforms, languages, and tools – commodity hardware and software artifacts and personnel are much more expensive – if they can be found at all. Thus, the further an industry diverges from the mainstream mass market, (1) the greater the costs it incurs to develop, deploy, and maintain systems and (2) the more its customers must pay for the niche technology.

More opportunities for disruptive technologies and global competition – Another consequence of IT commoditization is that industries long protected by high barriers to entry, such as the telecommunication and aerospace industries, will be much more vulnerable to disruptive technologies and global competition. For example, advances in high-performance COTS hardware and fault-tolerant middleware are making it possible to build dependable network elements ranging from PBXs to high-speed backbone routers using standard hardware and software components that cost much less than today’s highly proprietary systems. In turn, the commoditization of network elements will continue to erode the profit margins that market leaders of communication equipment have enjoyed historically.
• **Lower-priced, but potentially lower-quality components** – According to John Chambers, CEO of Cisco Systems, consumers are the big winners of IT commoditization because “everything gets cheaper forever.” (Clearly, John must not have purchased a house in Silicon Valley recently.) Nevertheless, global competition and time-to-market pressures are generally increasing productivity and driving commodity IT prices to marginal cost for low- and middle-end systems. What’s not clear at this point, however, is how well commodity hardware and software can support the dependability and fidelity requirements of high-end systems, such as carrier class central office switches or flight-critical avionics control systems. Unfortunately, there will be little incentive to improve the quality of commodity artifacts as long as:

  – Most users emphasize price and features over quality and scalability.
  – Vendors can continue to make money selling lower-quality products inexpensively in mass quantities.
  – There’s no credible competition or alternatives.

• **The decline of internally funded R&D** – Shrinking profit margins and increasing shareholder pressure to cut costs are making it hard for companies to invest heavily in long-term research that doesn’t yield short-term payoffs. As a result, many companies can no longer afford the luxury of internal R&D organizations that produce proprietary hardware and software components with customized quality-of-service support. To fill this void, therefore, commodity hardware and software researched and developed by third parties is becoming increasingly strategic to many industries. This trend is requiring companies to transition away from proprietary architectures toward more open systems that can reap the benefits of externally funded R&D and open-source development processes.

• **Potential complexity cap for next-generation complex systems** – Over the past five years there has been a steady flow of faculty, staff, and graduate students out of the traditional research centers, such as universities and research labs, and into startup companies and other industrial positions. While this migration has helped to fuel a global economic IT boom, it’s unclear whether this trend bodes well for long-term technology innovation. In particular, without an investment in fundamental
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R&D it will be hard for developers of next-generation systems to master the complexities associated with the move toward large-scale distributed “systems of systems” in many domains. Thus, as the current generation of technology transitions run their course, the systemic reduction in long-term research funding relative to short-term venture capital funding may be limiting the level of complexity of systems that can be developed and integrated using commoditized hardware and software components.

In the face of the relentless drive toward IT commoditization, it has become essential for developers, companies, and even entire countries to reconsider how to remain competitive. As hardware and software components are increasingly being written, fabricated, and deployed as inexpensive commodities via low-cost digital distribution channels over the Internet, traditional market leaders and supply chains are being altered significantly. For example, the ubiquity of the Web and the maturation of operating system and middleware standards are enabling a rapid growth of open-source operating systems, such as Linux, and open-source CORBA middleware, such as MICO, omniORB, ORBacus, and TAO. Moreover, open-source business models based on industrial standards are significantly altering the pricing levels, business strategies, and licensing models for vendors of proprietary “binary-only” solutions.

How Patterns Are Helping Us Succeed in a World of Information Technology Commoditization

In a highly commoditized IT economy, human capital is an increasingly strategic asset. In the future, therefore, I believe that premium value and competitive advantage will accrue to individuals, organizations, and nations that master the patterns and pattern languages necessary to integrate COTS hardware and software to develop complex systems that can’t be bought off the shelf. Patterns represent successful solutions to challenges that arise when building software in particular contexts. When related patterns are woven together, they form a language that helps to (1) define a vocabulary for talking about software development and integration challenges and (2) provide a process for the orderly resolution of these challenges.
Identifying, studying, and applying patterns and pattern languages can help us ensure that COTS components are created and integrated into high-quality communication systems by addressing the following system development and evolution challenges:

• Communication of architectural knowledge among developers – Patterns help capture essential properties of software architectures, while suppressing certain details that are not relevant at a particular level of abstraction. For example, patterns help to document software architectures by expressing the structure and dynamics of participants at a level higher than (1) source code or (2) object-oriented (OO) design models that focus on individual objects and classes. Patterns also provide software organizations with shared vocabularies and common architectural models, thereby helping to improve communication within and across developers, teams, and projects.

• Accommodating new design paradigms or architectural styles – Historically, the adoption of COTS and other technology advances has been limited by the effort required to transition developers trained in traditional techniques to newer paradigms, such as OO analysis, design, and programming. Fortunately, many core patterns have originated in non-OO contexts, such as operating system kernels, I/O subsystems, and databases, that are familiar to developers of legacy systems. Thus, developers who study these patterns can more readily migrate to new paradigms by learning how to leverage and extend domain expertise they gained from their prior experience and express it effectively in newer languages, platforms, methods, and notations.

• Resolving key nonfunctional forces associated with accidental complexity – A surprising amount of software complexity is accidental and arises from limitations with development tools and platforms. For instance, the vestiges of legacy hardware and software platform diversity have made it hard to develop portable applications and tools that can run across multiple operating environments, such as POSIX, Win32, and embedded real-time operating systems. These accidental complexities are being addressed today by identifying key patterns and pattern languages and reifying them into reusable middleware, such as CORBA or real-time Java, that shields developers from many tedious, error-prone, and nonportable programming details.
Avoiding development traps and pitfalls that were historically learned by costly trial and error – Developers of communication systems must address many recurring design challenges related to key properties, such as efficiency, scalability, robustness, and extensibility. For decades, successful architects and developers have learned to resolve these challenges by trial and error during a lengthy apprenticeship process. At any point in time, however, the knowledge gained from this process resides largely in the heads of domain experts or buried deep within complex system source code. By externalizing this expertise in the form of patterns and pattern languages, new generations of developers can learn how to avoid common traps and pitfalls in their domains without requiring such an extensive apprenticeship.

MANIFESTING THE MATURATION OF THE PATTERNS COMMUNITY

Over the past seven years an extensive body of literature on patterns and pattern languages has emerged that identifies, documents, and catalogs successful families of solutions to common software challenges. This literature has improved the construction of commercial software significantly by enabling the widespread reuse of software architectures, developer expertise, and OO application framework components. As a consequence, we now have a good collective understanding of how to design and implement certain types of software components using off-the-shelf tools and methods.

Much of the patterns literature has focused on a few well-traveled domains, however, such as graphical user-interface frameworks or business applications. As the scope and criticality of communication system requirements continue to expand, our most pressing need has become elevating the focus from relatively small-scale, stand-alone software mechanisms, protocols, and patterns to large-scale, distributed policies, architectures, and pattern languages. Developers of communication systems face many vexing inherent complexities, such as partial failures, distributed deadlock, and end-to-end QoS enforcement, that contain many interlocking aspects. Therefore, unless the panoply of commodity hardware and software point solutions can be consolidated into integrated frameworks, their value will be diminished and can in fact make matters worse instead of better.
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Until now, no single book has focused on patterns and pattern languages for communication systems with mission-critical requirements, such as telecommunications systems with 24 × 7 high-availability requirements or real-time middleware that can provide stringent end-to-end latency and jitter guarantees to applications. The material in this book exemplifies the maturation of the patterns community and is a major contribution to the study of patterns and pattern languages for communication systems. By learning this material you'll be able to better design and implement communication systems that can't be bought off the shelf, thereby improving the productivity and quality of your software solutions and staying ahead of your competition.

We are fortunate that Linda Rising has found time in her busy life to assemble the work of an outstanding group of authors for this book. During the past ten years I’ve had the honor to meet and work with most of these authors, many of whom are internationally renowned experts in their fields. If you want thorough coverage of the patterns and pattern languages that are shaping next-generation communication software, read this book. I’ve learned much from it and I’m confident that you will too.

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Acknowledgments

I was the conference chair for the first ChiliPLoP in 1998 and was not able to attend the TelePLoP hot topic. This publication was conceived at that gathering, but it was only when the time suddenly became available that I was able to implement it.

I have tried to capture the spirit of the participants’ intent even though I’m somewhat removed in time and distance!

Thanks to all the TelePLoPers and all the other contributors of communications patterns. These people are the inspiration for this book and the creators of its content. All I have done is to line ’em up and move ’em out!

Thanks to the good folks at Cambridge University Press, especially Lothlórien Homet, who believed that this book was important and should be published.

Thanks to my husband, Karl Rehmer, for being happy and proud about what I do and supporting and encouraging me along the way.

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Denmark 2001
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