Cambridge University Press 978-0-521-71336-8 - The Nature and Authority of Precedent Neil Duxbury Index More information

INDEX

absolute discretion as basis for decisions 66 accessibility of precedents 5-7 age of precedent as measure of value 63 - 4analogy basis for precedent, as 47-57 reason by 2 Austin, John on judge-made law 18, 38-40 authority of precedent 24-5 see also binding aspect of precedent; stare decisis doctrine; value of precedents bases for 12-13, 15-16 enactment force 60 level of court, influence of 62 limits to 16-17 shown when not followed, as 111-13 statutes, compared with 58-9 weakening of, because of lack of full facts 3 availability heuristics, precedents as 94-9 Bentham, Jeremy on judge-made law 17, 43-4 bias correction as reason for following precedent 166 binding aspect of precedent 12-22, 23-4, 96, 99-108 see also authority of precedent historical development 17-18 House of Lords' power to overrule see under House of Lords limits to 111

London Tramways v LCC 125-6

Canada, Supreme Court of overruling of own precedents 124 cascading of precedents, negative effects of 98-9 case law distinguishing see distinguishing interpretation of, compared with statutes 59 like treatment of see like cases. treatment of overruling see overruling unreported cases, use of 6 whether Practice Statement restricted to future 147-8 certainty, precedents as source of 80, 159-63 certainty test for determining stare decisis (Goodhart) 91 classical positivism see positivist jurisprudence in relation to precedent clean slate improvement of law compared to following precedent 154 inefficiency compared to following precedent 97 coercive theory of law 14 commands, precedents as 45-7, 100 - 2common law relation of precedent to 182-3 Compleat Wrangler, The (Stone-de Montpensier) binding force of Practice Statement, on 145

I N D E X

185

Concept of Law (Hart) constitutional basis of radical judicial action, on 136-9 theory of precedent in 19–22 consensus in decision-making 179-81 consequentialist justifications for following precedent 97, 153-67 consistency 159-63 need for 177-9 R. Stone's 'new theory of law' as to 143 - 4constraint, precedent as 4-5, 10, 59-62, 165 - 7costs of overruling concern for, as reason for following precedent 153 creative aspect of precedent 10-12 Cretan Liar paradox in relation to Practice Statement 144-5 current decisions as basis for precedent 4 customary aspect of precedent 8-9 decision-making contribution of precedent according to availability heuristics approach 94-9 economy of, enabling by precedent 97 future as constraint on 4-5, 10 decisions absolute discretion as basis for 66 House of Lords, discerning ratio decidendi in 70-2 judicial restraint by precedent, and 165-7 meaning of, as distinct from 'decision' in Practice Statement 146 'piggybacking' 93-4 rules as guide for 20 status as precedents in USA 6 value as precedents 95-6 whether ratio decidendi necessary for 77-8 declaratory theory of law 39-45 deontological arguments following precedent, for 167-82 treatment of like cases, for 170-82 dependence on precedent 31

dissenting opinions authority of 62 distinguishing 67-76, 113-15 compared with overruling 27 lower courts, by 167 doctrine of precedent, development of 31-57 historical development eighteenth century 17-18, 35 medieval period 32-3, 52 nineteenth century 18, 37-48 reasons for 35-57 seventeenth century 34-5, 48-51 Tudor period 25, 33-4, 52 reasons for benefits of following precedent 35-7 positivist jurisprudence, growth of 37-48 Dworkin, Ronald on precedential constraint 59-62, 170-1 economy of decision-making enabling by precedent 97 efficiency of decision-making clean slate versus following precedent for 97 enabling by precedent 97-9 enactment force of precedents 60 equality within like cases 176 erroneous decisions 40-1, 61 precedent as means of reducing 95-6 estoppel as justification for following precedent 163-5 exclusionary aspect of precedent 100-8 exclusionary reasons 105-6 exemplary aspect of precedent 7 experience as basis for precedent 2-3 factual basis of precedent 3-4 fairness in relation to justice 60–1 first-order reasons 105-6 following precedent, reasons for 150-3 bias-correction 166

consequentialist justifications 97, 153–67 costs of overruling, concern for 153

Cambridge University Press	
978-0-521-71336-8 - The Nature and Authority of	Precedent
Neil Duxbury	
Index	
Moreinformation	

186

I N D E X

following precedent (cont.) deontological explanations 167-82 estoppel 163-5 judges' motives see under judges traditionalism, according to 168-70 value of precedent 157 formal justice see like cases, treatment of formulary system 52 future as constraint on decision making 4-5, 10 Goodhart, Arthur certainty test for determining ratio decidendi 80 reasoning as source of ratio decidendi, on 80-7 gravitational force of precedents 60-2 Hart, H.L.A. The Concept of Law constitutional basis of Practice Statement, and 136-9 theory of precedent in 19-22 hierarchical system of appellate courts influence of level of court on authority of precedent 62 influence on growth of stare decisis 56 level of court influencing authority of precedent 62 Hobbes, Thomas, Leviathan theory of precedents as commands in 47-8, 100-2 House of Lords judgments, discerning ratio decidendi in 70–2 London Tramways case, decision on binding precedent in 125-6 overruling own precedents 27-8, 40-2, 58, 104, 122-8 reasons 135-6 usage and constraints 127-8 Practice Statement see Practice Statement, House of Lords precedents in 103 hypothetical instances as basis for precedents 3

ignoring precedent 15-16 see also overruling improvement of law clean slate compared to following precedent 154 inferior courts see lower courts information management, importance of ratio decidendi for 90 internal point of view 20-2 inversion test for determining ratio decidendi 76–7 joint opinions 79 judges see also judiciary law comity as reason for following precedent 155-6, 157-8 concern for justice as reason for overruling 157 decision-making consensus in 179-81 restraint by precedent on 165-7 independent judgment by precedents as pre-empting 100-8 scope for 27 judiciary law see judiciary law legislative role see judiciary law motives for following precedent 29 career and promotion prospects 154 confidence in value of precedents 157 reputation, concern for 154-5, 166 - 7regard for precedent 15-16, 24, 155 seventeenth century 34 when not following 111-13 reputation concern for, as reason for following precedent see motives for following precedent above contribution of precedents to 95 measure of value of precedent, as 62 use of precedents as shortcuts 26-7, $9\bar{2}-9$ use of social rules as guide for decisions 20 judicandum est legibus non exemplis 34

I N D E X

Judicature Acts 1873-75 influence on growth of stare decisis 56 judiciary law 38-40 judges' decisions as basis for 22 judges' scope for making 27-8 jurisprudence on precedent historical development 14-22 iurists role of 23 iustice fairness, in relation to 60-1 over precedent 157 Kelsen, Hans Pure Theory of Law 14 Kronman, Anthony traditionalist argument for following precedent 168–70 law making by judges see judiciary law law reporting, development of 53-6 legal training importance of ratio decidendi for 90 like cases, treatment of 29, 60-1 different cases as like, treating 170 equality, interaction with 176 incommensurability, problem of 172 - 4like cases alike, treating, deontological arguments for 170-82 likeness and difference, criteria for 174-5 material differences, importance of decisions on 181-2 moral considerations 176-7 reasons for not treating alike 171-2 relevance as to 175-6 logic, basis of law in Roy Stone and 142-3 London Tramways v LCC House of Lords' decision on binding precedent in 125-6 lower courts distinguishing by 167 precedent-following by 40-1 overruling by 130-1

187

mistaken decisions see erroneous decisions Montrose, J. L. notational system for identifying ratio decidendi 87-9 motives for following precedent see following precedent, reasons for New Zealand Court of Appeal overruling of own precedents 124 notational system for identifying ratio decidendi (I.L. Montrose) 87-9 obiter dicta ratio decidendi, distinguished from 26, 67-73, 76-90 objections to following precedent 98-9 opinions joint 79 relative importance within a decision 57 single 62-3 overruling 27-9, 104-5 anticipation of, as reason for not following precedent 159 costs of, concern for 153 distinguishing, compared with 27 ignoring precedent 15-16 justice, concern for, as reason for 157 lower courts, by 130-1 own precedents 122-8 House of Lords, by see under House of Lords New Zealand Court of Appeal, by 124 Supreme Court of Canada, by 124 reason as basis for 147 US Supreme Court, practice in 118 past actions as basis for precedent 9 past events as basis for precedent 1-2 piggybacking' decisions 93-4

political decisions, effect on

precedent of 12

Cambridge University Press	
978-0-521-71336-8 - The Nature	and Authority of Precedent
Neil Duxbury	
Index	
More information	

188

I N D E X

positivist jurisprudence in relation to precedent 14-22 declaratory theory of precedent 39-45 historical development 37-48 influence on judicial thinking 43 - 5precedents as commands 45-7 reason as basis for precedent 47-57 post-verdict arguments 25, 52, 53, 56 - 7Practice Statement, House of Lords 123, 126-49 constitutional basis of 131-9 Cretan Liar paradox, analogy with 144 - 5decision, as 146 'decision' and 'decisions', difference in meaning between 146 extent to which binding 139-49 future cases, whether restricted to 147 - 8logical basis for validity 148-9 logical consistency of 144-5 ratio decidendi, as 146 source of authority of 145-7 whether a precedent 145 precedential constraint, Ronald Dworkin on 59-62 predictability precedent as source of 159-63 protected reasons 105-6 Pure Theory of Law (Kelsen) 14 Queen's Bench Division, overruling own precedents by 124-5 ratio decidendi 67–92 as basis for precedents 25-6 basis for stare decisis 91 certainty test for determining 80 decisions, necessity for 77-8 definition 75-90 House of Lords decisions, discerning in 70-2 importance of earlier 91-2 information management,

inversion test for determining 76-7 joint opinions, and 79 legal training, importance for 90 multiple rationes 73-4 necessity test for determining 77-8 notational system for identifying 87-9 obiter dicta, distinguished from 26, 67-73, 76-90 point at which created 74-5 Practice Statement as 146 reasoning, distinguished from 67-8 reasoning as source of 80-7 reasons for determining 90-2 ratiocination distinguished from rationalisation 142 rational choice following precedent as 155-8 Raz, Joseph precedents as exclusionary reasons 102 - 8reason as basis for precedents 47-57 see also ratio decidendi; reasons, precedents as by analogy 2 'artificial' and natural reason distinguished 49-50 by experience 2-3 overruling on basis of reason 147 precedents as reasons see reasons, precedents as relative importance of opinions within a decision 25-6, 57 reasoning measure of value of precedent, as 65-6 non-unanimous 62 ratio decidendi, distinguished from 67-8 source of ratio decidendi, as 80-7 reasons see also ratio decidendi, shortcuts disregarding precedent, for 111-13 exclusionary 99-108 precedents as 57, 58-110 protected (first-order) 105-6 second-order exclusionary reasons 105 - 6use of earlier 93-9

importance for 90

Cambridge University Press
978-0-521-71336-8 - The Nature and Authority of Precedent
Neil Duxbury
Index
Moreinformation

I N D E X

189

reasons for following precedent see following precedent, reasons for relevant likeness and difference 175-6 reliance on following precedent 163-4 restraint, Ronald Dworkin on precedent as 165-7 rules formation of 23 as guide to judicial decisions 20 jurists' role in clarifying 23 second-order (exclusionary) reasons 105 - 6self-overruling 122-8 shortcuts use of precedents as 26-7, 92-9 single-opinion judgments as precedents 62-3 social rules as guide to judicial decisions 20 sources of law effectiveness of precedents as 92-3 stability contribution of precedents to 96, 158-9 stare decisis, doctrine of 12-13, 14-19, 27 - 8appellate court system, development of, influence on growth of 62 basis in ratio decidendi 91 erroneous decisions 40 historical development 34-5, 42 Judicature Acts, influence of 56 law reporting, influence of development of 53-6 post-verdict arguments, development of 25 statutes authority of precedents compared with 58-9 interpretation of case law compared with 59

Stone, Julius constitutional basis of Practice Statement, on 131–2 Stone-de Montpensier, Roy L. *The Compleat Wrangler* binding force of Practice Statement, on 145 surprise precedents 5–7

traditionalist argument for following precedent (Kronman) 168–70

unanimity of decision as measure of value 62 unexplained exceptions as precedents 164 - 5unreported cases, use of 6 USA decisions as precedents, status of 6 overruling 118 prohibition on use of English authorities 98 value of precedents 12, 95-6 age, based on 63-4 judges' reputation 62 legal reasoning, quality of 65-6 reason for following, as 157 traditionalism argument, according to 168-70

unanimous or majority view 62 whether higher or lower court 62

Wambaugh, Eugene inversion test for determining *ratio decidendi* 76–7 weakening of precedents lack of full facts, because of 3