#### Polarimetric Doppler weather radar: principles and applications

This book provides a detailed introduction to the principles of Doppler and polarimetric radar, focusing in particular on their use in the analysis of precipitation. The design features and operation of practical radar systems are highlighted throughout the book in order to illustrate important theoretical foundations.

The authors begin by discussing background topics such as electromagnetic scattering, polarization, and wave propagation. They then deal in detail with the engineering aspects of pulsed Doppler polarimetric radar, including the relevant signal theory, spectral estimation techniques, and noise considerations. They close by examining a range of key applications in meteorology and remote sensing.

The book will be of great use to graduate students of electrical engineering and atmospheric science as well as to practitioners involved in the meteorological application of radar systems.

**V. N. Bringi** received his Ph.D. from Ohio State University and is a Professor of Electrical and Computer Engineering at Colorado State University. He is a pioneer in the field of polarimetric radar meteorology and has published over 100 technical articles.

V. Chandrasekar received his Ph.D. from Colorado State University, where he is a Professor of Electrical and Computer Engineering. He has over twenty years' experience working with various aspects of radar systems. He has also been a visiting professor at The National Research Council of Italy.

## POLARIMETRIC DOPPLER WEATHER RADAR

## Principles and applications

Professor V. N. Bringi

Professor V. Chandrasekar



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With humility and reverence, this work is placed at the feet of Ganesha, the remover of all obstacles.

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### Preface

Doppler radars are now considered to be an indispensable tool in the measurement and forecasting of atmospheric phenomena. The deployment of WSR–88D radars, the terminal Doppler weather radar (TDWR) at major airports, and wind-profiling radars in the USA can all be considered as major milestones in the operational application of the Doppler principle. Another milestone is the successful deployment of the first precipitation radar in space as part of the Tropical Rainfall Measurement Mission (TRMM). Measurement of the reflectivity and velocity of precipitation particles basically exploits the information contained in the amplitude and phase of the scattered electromagnetic wave. In the last two or three decades, it has become increasingly clear that significant information is also contained in the polarization state of the scattered wave. The physical and experimental basis for the application of radar polarimetry to the study of precipitation is the main subject of this book.

The evaluation of polarimetric measurement options for operational WSR–88D radars is gaining momentum and, if realized, will result in widespread application of polarimetric techniques by a broad segment of the meteorological community. Basic and applied research in polarimetric radar meteorology continues to be strong world-wide, especially in Europe and Japan. We believe that the time has arrived for a detailed treatment of the physical principles underlying coherent polarimetric radar for meteorological applications.

This book is based in part on a graduate class taught by the authors at Colorado State University since the mid-1980s. Our goal has been to present the subject, essentially from first principles, in a self-contained format. Substantial thought has gone into selection and organization of the material in order to provide a good balance between theoretical rigor and practical applications. Examples of radar measurements are frequently given to illustrate the theory and to motivate the reader. Mathematical and physical background normally acquired in an undergraduate program in physics, atmospheric science, or electrical engineering is assumed.

The book is organized into eight chapters and five appendices. Notes are provided at the end of each chapter that provide suggestions for further reading, websites for description of specialized instruments, and examples of data not usually available in the archival journals. The Website for this book is www.engr.colostate.edu/ece/ radar\_education where specialized software, homework assignments, etc., are available.

Chapters 1–4 provide the foundation for wave scattering and propagation theory as applied to radar polarimetry. Chapter 1 covers a number of important electromagnetic

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concepts starting with Maxwell's equations and leading to Rayleigh scattering and dielectric mixing formulas. The bistatic Doppler frequency formula is derived by considering an example of a moving loop illuminated by a plane wave. The time-correlated bistatic cross section of a moving particle is defined, which naturally leads to the Doppler spectrum. The elements of a generic pulsed Doppler radar system are then described.

Chapter 2 deals with the amplitude scattering matrix of spheroids in the Rayleigh– Gans limit. In fact, it is quite remarkable that important polarimetric radar observations of precipitation can, to a large degree, be explained using the spheroidal model. For completeness, the Mie scattering solution is formulated as a boundary value problem, with a review of spherical harmonics provided in Appendix 2.

Chapter 3 provides a detailed description of wave, antenna, and radar polarization. The dual-polarized radar range equation is derived in linear and circular polarization bases. The conventional radar cross section concept is generalized to include the concepts of copolar and cross-polar polarization synthesis and optimal polarizations. Scattering from randomly distributed precipitation particles is treated using the Mueller matrix, which leads to the definition of conventional polarimetric observables such as differential reflectivity, linear depolarization ratio, etc. The polarimetric covariance matrix is defined, which represents complete measurements from a dual-polarized radar. Much of this chapter deals with the structure of the covariance matrix in linear and circular bases including simplifications afforded by symmetry arguments.

Chapter 4 deals with dual-polarized wave propagation in precipitation media and how differential attenuation and differential phase between the two "characteristic" waves can be measured. The structure of the propagation-modified covariance matrix in linear and circular bases is treated in detail. Radar measurements in linear and circular bases are used to illustrate the theory. The hybrid measurement mode, which is currently being explored for the WSR–88D radar system, is explained.

Doppler radar theory, signal statistics, and signal processing form the subject matter of Chapter 5 and are dealt with in a fairly rigorous manner. Although a very brief review of signals and systems theory is provided, the level of treatment assumes prior exposure and familiarity with linear systems theory. The application of Doppler radar to wind retrieval or to the study of storm dynamics is not covered as these topics are dealt with in detail in existing books (Sauvageot 1992; Doviak and Zrnić 1993).

Chapters 6–8, which form nearly 50% of the book, deal with dual-polarized radar systems and applications to meteorology, and would be of most relevance to professionals in the field. Chapter 6 describes how polarization diversity and agility are configured in different radar systems. The topics of antenna performance and system polarization errors are treated in detail. A significant portion of this chapter is devoted to estimation of covariance matrix elements from signal samples under three different pulsing schemes. The practical topic of deriving specific differential phase from range profiles of differential propagation phase is also covered in detail.

Chapter 7 deals with the polarimetric basis for characterizing precipitation and describes methods used to infer hydrometeor types and amounts. Commensurate with

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the importance of this topic, this chapter occupies nearly one-third of the book. A large number of examples are used to illustrate different methods and approaches. Correction of measured reflectivity and differential reflectivity for attenuation, and differential attenuation due to rain along the propagation path are treated in detail. This chapter ends with a description of fuzzy logic methods applied to the problem of hydrometeor classification, and several examples are provided. With real-time implementation of such methods already in place on some research radars, this topic is both timely and relevant for applications.

Chapter 8 treats the radar rainfall measurement problem from the viewpoint of both physically based and statistical/engineering-based approaches. The error structure of polarimetric-based rain rate algorithms is explained via simulations. The theoretical basis of the area-time integral (ATI) and probability-matched methods (PMM) is covered as well as their polarimetric extensions. A separate section on neural networks and their application to rainfall estimation is included together with examples of performance.

Five appendices are provided, three of which are reviews (electrostatics, spherical harmonics, and the T-matrix method). Appendix 4 derives the transmission matrix, while Appendix 5 details procedures for calculating the variance of the magnitude and phase of correlation functions. It is beyond the scope of this book to give a historical account of polarimetric Doppler radar, for which we defer to *Radar in Meteorology* (American Meteorological Society 1990, Ed. D. Atlas). Since emphasis in this book is primarily on pulsed, dual-polarized Doppler radar, other radar techniques, such as frequency modulated–continuous waveform (FM–CW), pulse compression, or synthetic aperture radar are not covered, nor are radars based on airborne or spaceborne platforms. However, the principles covered in this book will be helpful to the reader wishing to pursue these topics.

Finally, the opportunity to pursue exciting interdisciplinary research, to teach classes in our research area, and to interact with graduate students both in electrical engineering and atmospheric science, have all played a significant role in the writing of this book. Indeed, it is our hope that students will enjoy learning from this book. At the same time, we hope that researchers in the field will find the topics both timely and helpful for their own work.

> V. N. Bringi V. Chandrasekar

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## Notation

#### List of symbols

а	radius of a sphere or radius of the principal equatorial circle of a spheroid (Fig. 1.8 <i>a</i> )
$a_n^s$	expansion coefficients of the scattered electric field (van de Hulst definition), Section 2.4.1
Α	specific attenuation, eq. (1.133)
A <sub>dp</sub>	specific differential attenuation between the two characteristic waves, eq. (4.7)
$A_{h,v}$	specific attenuation at horizontal ( <i>h</i> ) or vertical ( <i>v</i> ) polarization states, eq. (4.76)
A <sub>sm</sub>	asymmetry ratio, eq. (2.99)
ATI	area-time integral
$\vec{A}$	magnetic vector potential, eq. (1.13a)
b	semi-major axis length of a prolate spheroid or semi-minor axis length of an oblate spheroid (Fig. 1.8 <i>a</i> )
$b_n^s$	expansion coefficients of the scattered electric field (van de Hulst definition), Section 2.4.1
В	bandwidth of a radar receiver
$\vec{B}^{i,s}$	magnetic field; superscripts <i>i</i> , <i>s</i> correspond to incident and scattered waves
с	speed of light in vacuum
	speed of light in vacuum

D	diameter of a sphere or characteristic dimension of a spheroid (equi-volume spherical diameter)
D <sub>max</sub>	maximum diameter in a measured size distribution
$D_m$	mass-weighted mean diameter, eq. (7.13)
$D_0$	median volume diameter, eq. (7.11)
$D_z$	reflectivity-weighted mean diameter, eq. (7.25)
$\hat{e}_{i,r,s}$	unit polarization vector of the incident $(i)$ , reflected $(r)$ , or scattered $(s)$ waves
$\hat{e}_{h,v}$	unit polarization vector for horizontal ( <i>h</i> ) and vertical ( <i>v</i> ) states
$\hat{e}_{R,L}$	unit polarization vector for right-hand ( $R$ ) and left-hand ( $L$ ) circular states, eqs. (3.6, 3.8)
$\hat{e}_t$	unit polarization vector of the transmitting antenna
$E_0$	electric field amplitude
$E_h^{i,r,s}; \ E_v^{i,r,s}$	components of the electric field in the $(h, v)$ -basis, superscript $(i, r, s)$ refers to incident, reflected, or scattered waves, respectively
$E_R; E_L$	components of the electric field in circular basis ( $R$ = right-hand component, $L$ = left-hand component)
$E_l^{i,s}; E_r^{i,s}$	components of the electric field in the van de Hulst convention (Section 2.4.1); <i>i</i> , <i>s</i> refer to incident

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	and scattered fields, $l, r$ refer to parallel ( $l$ ) and perpendicular ( $r$ ) components
$\vec{E}^i$	incident electric field vector
$\vec{E}_T^{\mathrm{in}}$	total electric field inside the scatterer, eq. (1.18b)
$\vec{E}^r$	reflected electric field vector
$\vec{E}^{s}$	scattered electric field vector
$\mathbf{E}^{i}$ ; $\mathbf{E}^{r}$ ; $\mathbf{E}^{s}$	$2 \times 1$ column, or Jones vector, of the incident, reflected, or scattered electric field, eqs. (2.7, 2.12)
f	frequency
f	ice fraction, eq. (7.87c)
$f_c$	carrier frequency
$f_D$	Doppler frequency
$f_{\rm IF}$	intermediate frequency
$f_h; f_v$	normalized antenna power pattern functions, eq. (6.20)
$f_h; f_v$	forward scattering amplitude at horizontal and vertical polarizations, eq. (8.5)
$f_{\varepsilon h}; f_{\varepsilon v}$	cross-polar pattern functions, eq. (6.21)
$f(\theta,\phi)$	normalized antenna pattern function, eq. (5.38)
$f(R_T)$	rain volume fraction above a threshold rain rate, eq. (8.39b)
$f_{\eta}$	normalized range–time profile of reflectivity, eq. (5.40)
f(x)	probability density function, where <i>x</i> can be voltage, phase, power, etc.
$f_D(D)$	probability density function of drop diameter, eq. (8.2)
$\vec{f}$	vector scattering amplitude, eq. (1.24a)
F	dielectric factor, eq. (1.69)
F	volume flux of rain, eq. (8.35)
F	noise figure, eq. (5.144)

$F_e$	equivalent noise figure, eq. (5.151)
$ec{F}$	vector radiation amplitude, eq. (3.30)
g(t)	impulse response of a receiver filter, eq. (5.51)
$G_0$	peak boresight gain of an antenna, eq. (5.38)
$G_r$	receiver power gain
$G(\theta,\phi)$	antenna power gain function, eq. (5.38)
$G_{h,v}$	antenna power gain function associated with the $h$ - or $v$ -port of a dual-polarized antenna, eq. (6.19)
$G_{0h};$ $G_{0v}$	peak boresight gains associated with the <i>h</i> - or <i>v</i> -port of a dual-polarized antenna
G <sub>R</sub> ; G <sub>L</sub>	antenna power gain functions associated with the right-hand ( <i>R</i> )-port or left-hand ( <i>L</i> )-port of a dual-circularly polarized antenna, eq. (3.102)
G(f)	frequency-response function of a receiver, eq. (5.50)
$G_n(f)$	normalized frequency response function of a receiver
$h_{n}^{(2)}$	spherical Hankel function of the second kind, eq. (A2.6)
$h(\tau;t)$	impulse response of the medium, eq. (5.101)
$\hat{h}_{i,r,s}$	unit horizontal polarization vector of incident, reflected, or scattered electric field
$ec{h}$	effective vector "length" of an antenna, eq. (3.37)
H(f;t)	frequency response function of the medium, eq. (5.100)
H <sub>dr</sub>	hail signature function, eq. (7.88)
i	$\sqrt{-1}$
î	unit vector along the direction of the incident wave (Fig. 1.7)

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Ι	in-phase component of a signal	$ K_{p,i} $
Ι	element of the $4 \times 1$ Stokes' vector, eq. (3.25a)	K <sub>dp</sub>
I	$4 \times 1$ Stokes' vector, eq. (3.25)	К
Ī	identity tensor, or unit diagonal matrix, eq. (2.28b)	$l_r$
j	$\sqrt{-1}$	
<i>j</i> <sub>n</sub>	spherical Bessel function, eq. (A2.6)	$l_{\rm sw}$
J	coherency matrix, eq. (3.146). Additional subscripts cp and up define completely polarized and completely unpolarized waves, respectively	l <sub>wg</sub> L L
<b>J</b> <sub>10</sub> ; <b>J</b> <sub>01</sub>	coherency matrix when the transmitted wave is horizontally polarized (subscript "10") or vertically polarized (subscript "01"), eq. (3.181)	LDR LDR
$\vec{J}_p$	polarization current density, eq. (1.54)	
k	Boltzman constant, $1.38 \times 10^{-23} \text{ J K}^{-1}$ , eq. (5.140)	m
k	wave number in a dielectric, eq. (1.8)	$\vec{M}$
k <sub>eff</sub>	effective wave number (or propagation constant) in a composite material, eq. (1.70)	$M_{h,v}$
$k_{\rm eff}^{\rm re};$ $k_{\rm eff}^{\rm im}$	real (re) or imaginary (im) component of the effective propagation constant, eq. (1.129)	
$k_{\rm eff}^h;$ $k_{\rm eff}^v$	effective propagation constant when the two characteristic waves are polarized horizontally ( $h$ ) and vertically ( $v$ ), eq. (4.67)	$M_{R,I}$
$k_{\rm re}^{h,v};$ $k_{\rm im}^{h,v}$	real (re) and imaginary (im) components of $k_{eff}^h$ or $k_{eff}^v$ , eq. (4.68a,b)	М
$\hat{k}_{i,r,s}$	unit vector along direction of the incident $(i)$ , reflected $(r)$ , or	n; n <sub>c</sub>
	scattered (s) waves	Ν

$ K_{p,w} ^2$	dielectric factor of a particle $(p)$ or of water $(w)$ , eqs. (3.167, 5.49a)
$K_{dp}$	specific differential phase, eq. (4.8)
K	covariance matrix of signal samples, eq. (6.73)
$l_r$	finite bandwidth loss factor, eq. (5.56)
l <sub>sw</sub>	insertion loss factor of a polarization switch, eq. (6.61)
$l_{\rm wg}$	waveguide loss factor, eq. (6.53)
L	loss factor of a lossy device, eq. (5.152)
L	linear depolarization ratio; $L = 10^{0.1(\text{LDR})}$ , eq. (3.232)
LDR <sub>vh</sub> ; LDR <sub>hv</sub>	linear depolarization ratio for an ensemble of particles, subscript "vh" stands for horizontal transmit/vertical receive, while "hv" stands for vertical transmit/horizontal receive, eq. (3.169)
т	refractive index, eq. (1.126)
$\vec{M}$	vector spherical harmonic, eq. (A2.9a)
$M_{h,v}$	transmitter wave amplitudes exciting the horizontal or vertical, <i>h</i> - or <i>v</i> -, ports of a dual-polarized antenna. $M_h = 1$ , $M_v = 0$ , represents transmission of a <i>h</i> -polarized wave, while $M_h = 0$ , $M_v = 1$ , represents a <i>v</i> -polarized wave, eq. (3.59)
$M_{R,L}$	as above except for the right-hand $(R)$ or left-hand $(L)$ ports of a dual-polarized antenna, eq. (3.102)
Μ	$4 \times 4$ Mueller matrix, eq. (3.28)
<i>n</i> ; <i>n</i> <sub>c</sub> ; <i>N</i>	number of particles per unit volume, eqs. (4.3), (7.26), (1.64)

*N* number of signal samples

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	-		
<i>N</i> <sub>0</sub>	intercept parameter of an exponential or gamma drop size distribution, eq. (7.12)	$P_{\rm co}^h$	power received at the copolar (co) $h$ -port of a dual-polarized antenna when horizontal ( $h$ ) polarization is
$N_0/2$	power spectral density of "white" noise, eq. (5.141)	$P_{\rm co}^v$	transmitted, eq. $(3.17/a)$ power received at the <i>v</i> -port when
$N_w$	"intercept" parameter of a normalized gamma drop size	D	the transmitted state is vertical $(v)$ , eq. $(3.177b)$
N(D)	distribution, eq. (7.61)	P <sub>cx</sub>	eq. (3.178)
$\vec{N}$	vector spherical harmonic,	Ρ <sub>o</sub>	averaged received (or signal) power at the receiver output, eq. (6.52a)
<i>p</i> , χ	eq. (A2.9b) parameters representing differential	$\bar{P}_{ m ref}$	averaged received (or signal) power at the reference plane, eq. (6.53)
Γ, Λ	attenuation and differential phase between the two characteristic	$\bar{P}_{S+N}$	sum of averaged signal power and noise power
	waves, eq. (4.13b)	$P_N$	noise power, eq. (5.140)
$p_{x,y,z}$	Cartesian components of the dipole moment vector, eq. (1.36a)	$P_s$	total power scattered by a particle when illuminated by an ideal,
p(t)	impulse train sampling function, eq. (5.111)		linearly polarized plane wave, eq. (1.44)
$p(\theta);$ $p(\phi)$	probability density function (pdf) of the spherical coordinate angles $\theta$ or	$P_d$	time-averaged power dissipated within a particle, eq. (1.53)
	$\phi$	Р	$2 \times 2$ ensemble-averaged forward
$p(\Omega)$	pdf of the symmetry axis in the solid angle interval	$D_{i,j} \cdot D_{i,j}$	scattering matrix, eq. $(4.39)$
$\vec{p}$	vector dipole moment, eq. (1.32c)	$P_{vh}; P_{vv}$	ensemble-averaged forward scattering matrix, eq. $(4.40)$
$P_t$	transmitter pulse power, eq. (3.33)	$ec{P}$	volume density of polarization
$P_t^{n,v}$	transmitter pulse power coupled to the horizontal or vertical, <i>h</i> - or <i>v</i> -ports of a dual-polarized antenna,	Q	quadrature phase component of a signal
$P_t^{R,L}$	eqs. (3.57, 3.58) as above except for a	Q	element of the $4 \times 1$ Stokes' vector, eq. (3.25b)
_	dual-circularly polarized antenna	$Q_{\rm ext}$	normalized extinction cross section
P <sub>rad</sub>	power radiated by an antenna, eq. (3.31)	r	spherical coordinate of a point
P <sub>rec</sub>	received power, eq. (3.130)		$(r, \theta, \phi)$ or radar range
$P_{h,v}$	power received by the <i>h</i> -receiver or	r	axis ratio of a spheroid $(r = b/a)$ , Fig. 1.8
	eq. (6.106)	$ec{r};ec{r}'$	position vectors

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$\vec{r_i}$	position vector to a point on the
	wave, Fig. 1.6b
ŕ	unit vector $(\hat{r} = \vec{r}/r)$
r	magnitude of $\vec{r}$
$\bar{r}_m$	mass-weighted mean axis ratio, eq. (7.33)
$\bar{r}_z$	reflectivity-weighted mean axis ratio, eq. (7.35)
$\overline{r^2}$	mean-square value of the axis ratio, eq. (3.220a)
r	mean axis ratio, eq. (3.219a)
Ŕ	vector connecting source and observation points, $\vec{R} = \vec{r} - \vec{r}'$
R	magnitude of $\vec{R}$
Ŕ	unit vector, along the direction of $\vec{R}$
R	rain rate, eq. (7.64)
R	areal average rain rate, eq. (8.38a)
<i>R</i> ; <i>R</i> <sub>v</sub>	autocorrelation function of the received voltage, eqs. (5.80, 5.128)
$R_N$	autocorrelation function of "white" noise, eq. (5.158)
R <sub>co</sub>	correlation between the copolar received voltages ( <i>hh</i> and <i>vv</i> returns), eq. (3.179c)
<i>R</i> <sup><i>h</i></sup> <sub>cx</sub>	correlation between copolar and cross-polar signal returns ( $hh$ and vh) when the transmitted polarization is horizontal ( $h$ ), eq. (3.179a)
R <sup>v</sup> <sub>cx</sub>	correlation between coplar and cross-polar signal returns ( $vv$ and hv) when transmitted state is vertical ( $v$ ), eq. (3.179b)
$R_{hh,hh}[l]$	autocorrelation of the $hh$ signal return at lag $l$ , eq. (6.76a)
$R_{hh,vh}[l]$	correlation between $hh$ and $vh$ returns at lag $l$ , eq. (6.76b)
$R_{hh,vv}[l]$	correlation between $hh$ and $vv$ returns at lag $l$ , eq. (6.76c)

$R_{vh,vh}[l]$	autocorrelation of the $vh$ signal at lag $l$ , eq. (6.76d)
$R_{vv,hv}[l]$	correlation between $vv$ and $hv$ returns at lag $l$ , eq. (6.76e)
$R_{vv,vv}[l]$	autocorrelation of the $vv$ signal at lag $l$ , eq. (6.76f)
$R_{v,h}[0]$	correlation between the $h$ and $v$ signal returns at lag 0 in the hybrid mode, eq. (6.107)
R	$2 \times 2$ rotation matrix, eq. (2.93a)
R	$4 \times 4$ covariance matrix of the complex signal vector, eq. (5.168d)
ŝ	unit vector along the direction of scattering, Fig. 1.6
S	distance along the direction of scattering
s(t)	complex signal representation, eq. (1.92)
$s_{tr}(t)$	transmitted signal, eq. (5.5a)
$S_{\sigma}$	bistatic Doppler power spectrum, eq. (1.113a)
$S(\omega);$ S(f)	Doppler frequency spectrum or power spectral density, eqs. (5.124, 5.125)
S(v)	Doppler velocity spectrum, eq. (5.127)
$S_N(f)$	power spectral density of "white" noise, eq. (5.141)
<i>S</i> <sub>11,22</sub>	principal plane elements of the scattering matrix, eq. (2.89)
<i>S</i> <sub>1,2</sub>	functions related to the Mie solution, eq. (2.108)
$S_{hh}; S_{vh}$	first column of the $2 \times 2$ amplitude scattering matrix, eq. (2.6a)
$S_{hv}; S_{vv}$	second column of the $2 \times 2$ amplitude scattering matrix, eq. (2.6a)
$S_{RR}; S_{LR}$	first column of the $2 \times 2$ amplitude scattering matrix in the circular basis, eq. (3.88a)

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$S_{RL}; S_{LL}$	second column of the $2 \times 2$ amplitude scattering matrix in the circular basis, eq. (3.88)
$\mathbf{S}_{ ext{BSA}}^{c}$	$2 \times 2$ scattering matrix in circular basis, eq. (3.88a)
S <sub>BSA</sub> ; S <sub>FSA</sub>	2 × 2 scattering matrix in back scatter alignment (BSA) or forward scatter alignment (FSA) conventions, eqs. (2.6b, 2.12)
t	time
ť	retarded time, eq. (1.108b)
$T_0$	pulsewidth of a transmitted pulse
$T_0$	ambient temperature, eq. (5.145)
$T_s$	pulse repetition time
T <sub>e</sub>	equivalent noise temperature, eq. (5.149)
$T_D$	coherence time of a medium, eq. (5.96)
$T_D$	device noise temperature, eq. (5.143)
$T_N$	noise temperature, eq. (5.140)
<i>T</i> <sub>11,22</sub>	principal plane elements of the transmission matrix, eq. (4.6b)
$T_{hh}; T_{vh}; T_{hv}; T_{vv}$	elements of the $2 \times 2$ transmission matrix, eqs. (4.19c, 4.42)
Т	transmission matrix in $(h, v)$ -basis, eq. (4.62)
$\mathbf{T}^{c}$	transmission matrix in circular basis
U	element of the $4 \times 1$ Stokes' vector, eq. (3.25c)
U <sub>R</sub>	correlation between the RHC and LHC received votage components when the transmitted state is RHC, eq. (4.24), or LHC, eq. (4.25)
$U_{\rm tr}(t)$	transmitted waveform, eq. (5.5a)
U	$2 \times 2$ polarization basis transformation matrix, eq. (3.73a)
$v; v_0$	particle velocity or Doppler velocity
$ec{v}$	particle velocity vector, eq. (1.108a)

$\bar{v}$	mean Doppler velocity, eq. (5.127)
v(D)	terminal velocity of a drop of diameter $D$ , eq. (7.65a)
V	volume of sphere or spheroid
V	element of the $4 \times 1$ Stokes' vector, eq. (3.25d)
$V; V_r$	voltage or received voltage
$V_{h,v}$	received voltage at the $h$ - or $v$ -port of a dual-polarized antenna; also, received voltages in the hybrid mode, eqs. (3.60, 6.106)
$V_{R,L}$	received voltage at the RHC- or LHC-port of a dual-circularly polarized antenna, eqs. (3.105, 3.108a)
$V_h^{10}$	received voltage at the <i>h</i> -port of an antenna when the transmitted state is horizontal (denoted by superscript "10").
$V_v^{10}$	received voltage at the <i>v</i> -port of an antenna when the transmitted state is horizontal
$V_v^{01}$	received voltage at the <i>v</i> -port when the transmitted state is vertical (denoted by "01")
$V_{h}^{01}$	received voltage at the <i>h</i> -port of an antenna when the transmitted state is vertical
$V_h^{11}; V_v^{11}$	received voltage at the <i>h</i> - or <i>v</i> -port when transmitted state (denoted by "11") is slant $45^{\circ}$ (or hybrid mode), eq. (4.141)
$V_h^{1,-1}; V_v^{1,-1};$	received voltage at the <i>h</i> - or <i>v</i> -port when the transmitted state ("1, $-1$ ") is slant $-45^\circ$ , eq. (4.143)
$V_{hh}; V_{vh};$ $V_{hv}; V_{vv}$	time samples of the received signal vector, eq. (6.72)
W	rainwater content, eq. (7.11)
W; W'	correlation between the RHC and LHC voltage components; prime refers to transmission-modified version, eq. (4.122c)

W	window function, eq. (5.132)	$Z'_{ m dr}$	transmission-matrix modified $Z_{dr}$
W(t)	range-time weighting function, eq. (5.52)	α	polarizability of a sphere, eq. (A1.27a)
$W_n(t)$	normalized range-time weighting function, eq. (5.52c)	α	slope of a linear relation between specific attenuation and specific
$W(r, \theta, \phi)$	three-dimensional weighting function, eq. (5.60a)	$\alpha_{x,y,z}$	differential phase, Table 7.1 elements of the polarizability
<i>w</i> <sub>1</sub> ; <i>w</i> <sub>1</sub>	copolar received power ("weak" channel) in a circularly polarized radar; prime refers to transmission-modified version,	$\alpha_{z_b}$	matrix, eqs. (A1.28, A1.29) polarizability of a spheroid along its symmetry axis, eq. (2.45)
	eq. (4.122a)	α	polarizability matrix, eq. (2.28a)
$W_2; W'_2$	cross-polar received power	ā	polarizability tensor, eq. (2.28b)
	("strong" channel) in a circularly polarized radar; prime refers to transmission-modified version	$\alpha_{o1n}$	expansion coefficients related to the Mie solution, eq. (2.104a)
$(W/W_2)_+$	eq. (4.122b) complex correlation between the RHC and LHC voltage	$lpha_{hh}, lpha_{vh}, \ lpha_{hv}, lpha_{vv}$	phase of the elements of the "instantaneous" scattering matrix, eq. (3.174)
	components when the transmitted polarization state is RHC (subscript	β	canting angle in the plane of polarization, Fig. 2.10 <i>a</i>
$(W/W_2)_{-}$	"+"), eq. (4.92a) as above complex correlation between the RHC and LHC	β	angle between the dipole moment and the scattering direction, Fig. 1.9
	voltage components when the transmitted polarization state is LHC (subscript "–"), eq. (4.92b)	β	slope of a linear relation between specific differential attenuation an specific differential phase.
X	polarization error matrix, eq. (6.33)		Table 7.1
∛dr	differential reflectivity ratio $(7   10^{0.1}(Z_{rt})) = 27 (2.221a)$	$eta_0$	mean canting angle
Ζ	$(g_{dr} = 10^{-10} \text{ (Gas)}), \text{ eq. (3.251c)}$ reflectivity factor, eq. (3.166)	$\beta_{e1n}$	expansion coefficients related to the Mie solution, eq. (2.104b)
$Z_e$	equivalent reflectivity factor, eq. (5.49a)	$\beta_a^2$	gain inequality between the two ports of a dual-polarized antenna.
$Z_{h,v}$	equivalent reflectivity factor at horizontal or vertical polarization, eq. (6.61c d)	γ	eq. (6.22a) slope of a linear relation between
$Z_0$	intrinsic impedance of empty space eq. (1.29)		drop axis ratio and diameter, eq. (7.29a)
Z <sub>dr</sub>	differential reflectivity, eq. (3.168)	Υhh; Yυh; Υhυ; Yυυ	magnitude of the elements of an "instantaneous" scattering matrix,
Z <sub>dp</sub>	difference reflectivity, eq. (7.86a)		eq. (3.174)
$Z'_h$	transmission-matrix modified $Z_h$	$\Gamma(n)$	gamma function

n.		the Mie solution, eq. (2.104a)
i the	$lpha_{hh}, lpha_{vh}, lpha_{hv}, lpha_{vv}$	phase of the elements of the "instantaneous" scattering matrix, eq. (3.174)
nitted Ibscript	β	canting angle in the plane of polarization, Fig. 2.10 <i>a</i>
1	β	angle between the dipole moment and the scattering direction, Fig. 1.9
e e is 92b) (6.33)	β	slope of a linear relation between specific differential attenuation and specific differential phase, Table 7.1
1 - )	$eta_0$	mean canting angle
)	$\beta_{e1n}$	expansion coefficients related to the Mie solution, eq. (2.104b)
at	$\beta_a^2$	gain inequality between the two ports of a dual-polarized antenna, eq. (6.22a)
ation,	γ	slope of a linear relation between drop axis ratio and diameter, eq. (7.29a)
3.168) 86a)	Υhh; Yvh; Yhv; Yvv	magnitude of the elements of an "instantaneous" scattering matrix, eq. (3.174)
d $Z_h$	$\Gamma(n)$	gamma function
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$\Gamma(\Delta f, \Delta t)$	spaced-time/spaced-frequency coherency function, eq. (5.106a)	$ar\eta$	resolution-volume averaged radar reflectivity, eq. (5.62)
δ	Dirac delta function		
$\delta_{ m co}$	scattering differential phase, eq. (7.49)	$ heta_{i,s}$	spherical coordinate angle related to incidence ( <i>i</i> ) and scattered ( <i>s</i> ) directions
$\delta_c$	scattering differential phase at circular polarization, eq. (3.98)	$\theta_{R,L}$	phase angle of RHC and LHC components
$\delta_{hh}; \delta_{vh}; \\ \delta_{hv}; \delta_{vv}$	phase angle of the scattering matrix elements, eq. (4.69)	$ heta_b$	spherical coordinate angle of the particle symmetry axis, Fig. 2.6 <i>a</i>
$\Delta Z_e$	enhancement in equivalent	λ	wavelength
	reflectivity factor in the bright-band relative to the rain below	$\lambda_{1,2}$	eigenvalues of the two characteristic waves, eq. (4.6a)
ε <sub>0</sub>	permittivity of empty space	$\lambda_{x,y,z}$	depolarization factors of a spheroid,
E <sub>r</sub>	relative permittivity of a dielectric	2	depolarizing factor along the
$\varepsilon_r'; \varepsilon_r''$	real and imaginary parts of $\varepsilon_r$	$\chi_{z_b}$	spheroid symmetry axis
$\varepsilon_{\rm eff}$	effective permittivity	Λ	slope of an exponential drop size
$\varepsilon_m$	radar measurement error, eq. (8.23)		distribution or slope parameter of a gamma dsd, eq. (7.12)
$\varepsilon_p$	error due to parameterization of the	$\Lambda_{x,y,z}$	related to $\lambda_{x,y,z}$ , Table A1.1
$\varepsilon_T$	sum of $\varepsilon_m$ and $\varepsilon_p$ , eq. (8.23)	$\mu$	parameter of a gamma drop size distribution, eq. (7.12)
$\varepsilon_{h,v}$	complex error terms of an	$\mu_0$	permeability of empty space
	antenna polarization error matrix, eq. (3.63)	ν	parameter of a gamma dsd, note that $\mu = \nu - 1$ , eq. (7.27)
$\varepsilon_{R,L}$	complex error terms for circular polarization, eqs. (3.113, 3.114)	ν	back scatter amplitude ratio at circular polarization, eq. (3.97a)
η	radar reflectivity, eq. (3.167a)	$\nu^2; \overline{\nu^2}$	circular depolarization ratio;
$\eta_c$	radar reflectivity at circular	_	ensemble-average, eq. (3.202c)
	polarization, eq. (3.202a)	П	electric Hertz vector, eq. (1.14)
$\eta_{hh,vv}$	copolar radar reflectivities at	ho	polarization match factor, eq. (3.44)
	horizontal and vertical polarizations, eq. (3.164)	$ ho_0$	size parameter of a sphere
		$ ho_p$	particle density
$\eta_{vh}$	cross-polar radar reflectivity; transmit polarization is $h$ and receive polarization is $v$ (subscript " $vh$ "), eq. (3.164c)	$ ho_w$	water density
		$\rho_{2,4}$	orientation parameters, eq. (3.201)
		$ ho_{ m co}$	copolar correlation coefficient
$\eta_{hv}$	cross-polar radar reflectivity; transmit state is $v$ and receive is $h$		between the $hh$ and $vv$ return signals, eq. (3.170a)

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$\rho[l]$	correlation coefficient between signal samples at lag $l$ , eq. (5.190)	$\sigma_{hh};\sigma_{vv}$	copolar radar cross sections at horizontal and vertical polarizations, eq. (8.4)
$\rho_p[l]$	of the signal power, eq. (5.190)	$\sigma_{\rm ext}$	extinction cross section of a sphere, eq. (1.57)
$\rho(t_s)$	of the received signal, eq. (5.95)	Σ	polarimetric covariance matrix in $(h, y)$ basis eq. (3.183)
$ \rho_{\rm cx}^n, \rho_{\rm cx}^v $	correlation coefficient between the copolar and cross-polar return signals when the transmitted	$\mathbf{\Sigma}^{c}$	polarimetric covariance matrix in circular basis, eq. (3.195)
$ ho_{hh,hh}[l]$	polarization is horizontal (superscript " <i>h</i> "), eq. (4.78a) or vertical (superscript " <i>v</i> "),	τ	volume of a non-spherical scatterer
		τ	range-time
	eq. (4.78b)	τ	time difference
	correlation coefficient of the $hh$ return signal at lag $l$ ; see in relation to eq. (6.76a)	τ	ellipticity angle of a polarization ellipse, eq. (3.13)
$ ho_{hh,vh}[l]$	as above except between $hh$ and $vh$ returns; see eq. (6.76b)	τ	mean canting angle in McCormick and Hendry's definition, eq. (4.33)
$ \rho_{hh,vv}[l] $	as above except between $hh$ and $vv$ returns; see eq. (6.76c)	$\phi$	canting angle in the polarization plane, Oguchi's definition, eq. (4.44)
$\sigma; \sigma^2$	standard deviation; variance	$\phi$	scalar potential function, eq. (1.10a)
$\sigma_m$	standard deviation of the mass-weighted mean diameter, eq. (7.50a)	$\phi_{i,s}$	spherical coordinate angle related to directions of incidence $(i)$ and scattering $(s)$
$\sigma_v$	standard deviation of velocity distribution, eq. (5.93b)	$\phi_b$	spherical coordinate angle related to
$\sigma_v$	standard deviation of the Doppler velocity spectrum, eq. (5.127)		Fig. 2.6
$\sigma_{a,s,b}$	absorption ( <i>a</i> ), scattering ( <i>s</i> ) and back scatter ( <i>b</i> ) cross section of a sphere, eqs. (1.56, 1.45, and 1.51),	$\Phi_{h,v}$	phase angles associated with the <i>h</i> - or <i>v</i> -ports of a dual-polarized antenna, eq. (6.19)
	respectively	$\Phi_{dp}$	differential propagation phase, eq. (4.65b)
$\sigma_{ m bi}$	eq. (1.46b)	χ	characteristic function of a random variable $ag_{1}(5,02a)$
$\sigma_{ m co}$	copolar radar cross section, eq. (3.137b)	x	vector associated with Rayleigh
$\sigma_{\rm cx}$	cross-polar radar cross section,		scattering, eq. (1.48)
$\sigma_{ m rt}$	generalized bistatic radar cross section, eq. (3.134)	Xi,s,r	incident ( <i>i</i> ), scattered ( <i>s</i> ), or reflected ( <i>r</i> ) wave, eq. $(3.3-3.5)$

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ψ	angle between the direction of the incident wave and the particle symmetry axis, Fig. $2.10a$
$\psi$	orientation angle of a polarization ellipse, Fig 3.3 <i>b</i>
$\psi_m$	magnetic flux, eq. (1.72)
$\Psi_{\rm dp}$	differential phase between copolar $(hh \text{ and } vv)$ received signals, eq. (4.65a)
ω	angular frequency
$\omega_D$	angular Doppler frequency
Ω	solid angle, eq. (2.68)
Ω	frequency axis for discrete time signals
Ω	feature vector, eq. (3.175)

#### **Abbreviations**

AGL	above ground level
ATI	area-time integral
BMRC	Bureau of Meteorology Research Center
BPN	back propagation network
BSA	back scatter alignment
CaPE	convective and precipitation/electrification experiment
CDF	cumulative distribution function
CDR	circular depolarization ratio
СОНО	coherent oscillator
CW	continuous waves
CSU– CHILL	Colorado State University–University of Chicago/Illinois State Water Survey
DDA	discrete dipole approximation
DFT	discrete Fourier transform
DLR	German Aerospace Research Establishment

dsd	drop size distribution
DSP	digital signal processing
DTFT	discrete time Fourier transform
EDR	elliptical depolarization ratio
EMF	electromotive force
ETL	Environmental Technology Laboratory
FDTD	finite difference-time domain
FFT	fast Fourier transform
FHC	fuzzy logic hydrometeor classifier
FIR	finite impulse response
FSA	forward scatter alignment
HVPS	high volume particle spectrometer
IEEE	Institute of Electrical and Electronic Engineers
IF	intermediate frequency
iid	independent and identically distributed
IIR	infinite impulse response
IWC	ice-water content
LHC	left-hand circular
LNA	low noise amplifier
MBF	membership function
M_EMF	motional EMF
MG	Maxwell-Garnet
NCAR	National Center for Atmospheric Research
NOAA	National Oceanic and Atmospheric Administration
NRC	National Research Council of Canada
OMT	orthomode transducer
ORTT	apparent degree of orientation measured by a circularly polarized radar
pdf	probability density function

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PMM	probability-matching method	SLDR	slant linear depolarization ratio
PPI	plan position indicator	SNR	signal-to-noise ratio
PPMM	polarimetric probability matching	STALO	stable local oscillator
	method	T_EMF	transformer EMF
PRF	pulse repetition frequency	TDWR	terminal Doppler weather radar
PROM	programmable read only memory	TRMM	Tropical Rain Measurement
PRT	pulse repetition time		Mission
RAL	Rutherford Appleton Laboratory	TWT	traveling wave tube
RBF	radial basis function	UTC	universal time coordinates
	wight hand simpler	VDH	van de Hulst
KHU	right-hand circular	VSWR	voltage standing wave ratio
RHI	range-height indicator	WPMM	window probability-matching
RRV	radar resolution volume		method
SD	standard deviation	WSR-88D	Weather Service Radar-1988
SDSM&T	South Dakota School of Mines		Doppler
	and Technology	XPI	cross-polarization isolation