### **Carbon Nanotube and Graphene Device Physics**

Explaining the properties and performance of practical nanotube devices and related applications, this is the first introductory textbook on the subject. All the fundamental concepts are introduced, so that readers without an advanced scientific background can follow all the major ideas and results. Additional topics covered include nanotube transistors and interconnects, and the basic physics of graphene. Problem sets at the end of every chapter allow readers to test their knowledge of the material covered and gain a greater understanding of the analytical skillsets developed in the text. This is an ideal textbook for senior undergraduate and graduate students taking courses in semiconductor device physics and nanoelectronics. It is also a perfect self-study guide for professional device engineers and researchers.

**H.-S. Philip Wong** is a Professor of Electrical Engineering at Stanford University, where he has worked since 2004. Prior to joining Stanford University, he spent 16 years at IBM T. J. Watson Research Center, Yorktown Heights, New York, where he held various positions from Research Staff Member to Senior Manager. He is a Fellow of the IEEE and his current research covers a broad range of topics, including carbon nanotubes, semiconductor nanowires, self-assembly, exploratory logic devices, nano-electromechanical devices, and novel memory devices.

**Deji Akinwande** is an Assistant Professor at the University of Texas, Austin, which he joined after receiving his Ph.D. from Stanford University in 2009. Prior to beginning his Ph.D., he was a circuit designer at Agilent Technologies, XtremeSpectrum/Freescale, and Motorola. He has published widely on carbon nanomaterials.

"An excellent and timely volume on the physics and applications of carbon nanotubes. A must read for students and researchers in this hot field."

Yuan Taur, UCSD

"This is the textbook that I have been aspiring to see for a long time. With excellent timing, the authors provide one that covers device physics of carbon nanotubes in a coherent, systematic way. The content is perfectly designed and formulated such that both students with little knowledge and researchers with hands-on experience in the field would find it extremely valuable. I would highly recommend this book to anyone who is interested in 'post-silicon' electronics."

Bin Yu, State University of New York, Albany

"I strongly recommend this text book to students, engineers and researchers who wish to build up their knowledge on carbon nanotube fundamentals and applications. They will extend their learning from materials technology and solid state physics to their applications in the fields of nanoelectronics and micro- nanosystems. The readers, interested by graphene and carbon nanotubes based devices, have the possibility to train themselves on the hottest topics and challenges which will pave the future of nanotechnology."

Simon Deleonibus, IEEE Fellow, CEA-LETI Chief Scientist and Research Director, MINATEC, Grenoble, France.

"This book is an excellent overview of carbon-based electronics, and in particular it provides the reader with an up-to-date and crisp description of the physical and electrical phenomena of carbon nanotubes, as well as a perspective on new applications enabled by this nanotechnology.

Both experts and students will enjoy reading this book, as it brings up to focus the important details of carbon solid-state physics to understand the ground rules of carbon transistors and the related nanoelectronic circuits. Moreover, from a global point of view, carbon electronics is a key nanotechnology supporting the continuous development of the information age in computing, sensing and networking." *Giovanni De Micheli, EPFL* 

"Excellent book covering all aspects of carbon nanotube devices from basic quantum physics in solids over material and device physics to applications including interconnects, field effect transistors and sensors. First complete book in an exciting new nanoelectronics field with great potential, intended for undergraduate and graduate students, researchers in the field and professional engineers, enabling them to get an insight in the field or to broaden their competence." *Cor Claeys, IMEC, Leuven, Belgium*  Cambridge University Press 978-0-521-51905-2 - Carbon Nanotube and Graphene Device Physics H. S. Philip Wong and Deji Akinwande Frontmatter More information

# **Carbon Nanotube and Graphene Device Physics**

H.-S. PHILIP WONG

Stanford University

DEJI AKINWANDE

University of Texas, Austin



© in this web service Cambridge University Press

CAMBRIDGE UNIVERSITY PRESS Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi, Dubai, Tokyo, Mexico City

Cambridge University Press The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org Information on this title: www.cambridge.org/9780521519052

© Cambridge University Press 2011

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2011

Printed in the United Kingdom at the University Press, Cambridge

A catalogue record for this publication is available from the British Library

Library of Congress Cataloguing in Publication data Wong, Hon-Sum Philip, 1959–
Carbon nanotube and graphene device physics / H.-S. Philip Wong, Deji Akinwande. p. cm.
Includes bibliographical references and index.
ISBN 978-0-521-51905-2
1. Nanotubes. 2. Graphene. 3. Optoelectronics–Materials.
4. Semiconductors–Materials. I. Akinwande, Deji. II. Title.
QC611.W86 2010
520'.5–dc22 2010039191

ISBN 978-0-521-51905-2 Hardback

Additional resources for this publication at www.cambridge.org/9780521519052

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

Cambridge University Press 978-0-521-51905-2 - Carbon Nanotube and Graphene Device Physics H. S. Philip Wong and Deji Akinwande Frontmatter More information

# Contents

## Preface

1	<b>Overv</b>	iew of carbon nanotubes	1
	1.1	Introduction	1
	1.2	An abbreviated zigzag history of CNTs	3
	1.3	Synthesis of CNTs	13
	1.4	Characterization techniques	16
	1.5	What about non-CNTs?	17
2	Electrons in solids: a basic introduction		19
	2.1	Introduction	19
	2.2	Quantum mechanics of electrons in solids	20
	2.3	An electron in empty space	21
	2.4	An electron in a finite empty solid	23
	2.5	An electron in a periodic solid: Kronig–Penney model	26
	2.6	Important insights from the Kronig-Penney model	29
	2.7	Basic crystal structure of solids	32
	2.8	The Bravais lattice	33
	2.9	The reciprocal lattice	38
	2.10	Summary	42
	2.11	Problem set	42
3	Graphene		47
	3.1	Introduction	47
	3.2	The direct lattice	50
	3.3	The reciprocal lattice	51
	3.4	Electronic band structure	52
	3.5	Tight-binding energy dispersion	55
	3.6	Linear energy dispersion and carrier density	63

© in this web service Cambridge University Press

Cambridge University Press
978-0-521-51905-2 - Carbon Nanotube and Graphene Device Physics
H. S. Philip Wong and Deji Akinwande
Frontmatter
More information

vi	Conte	nts	
	3.7	Graphene nanoribbons	67
	3.8	Summary	70
	3.9	Problem set	70
4	Carbo	n nanotubes	73
	4.1	Introduction	73
	4.2	Chirality: a concept to describe nanotubes	74
	4.3	The CNTs lattice	76
	4.4	CNTs Brillouin zone	81
	4.5	General observations from the Brillouin zone	86
	4.6	Tight-binding dispersion of chiral nanotubes	88
	4.7	Band structure of armchair nanotubes	90
	4.8	Band structure of zigzag nanotubes and the derivation	
		of the bandgap	93
	4.9	Limitations of the tight-binding formalism	95
	4.10	Summary	97
	4.11	Problem set	98
5	Carbo	n nanotube equilibrium properties	102
	5.1	Introduction	102
	5.2	Free-electron density of states in one dimension	103
	5.3	Density of states of zigzag nanotubes	105
	5.4	Density of states of armchair nanotubes	111
	5.5	Density of states of chiral nanotubes and universal density	
		of states for semiconducting CNTs	113
	5.6	Group velocity	116
	5.7	Effective mass	117
	5.8	Carrier density	119
	5.9	Summary	124
	5.10	Problem set	125
6	Ideal (	quantum electrical properties	128
	6.1	Introduction	128
	6.2	Quantum conductance	129
	6.3	Quantum conductance of multi-wall CNTs	134
	6.4	Quantum capacitance	139
	6.5	Quantum capacitance of graphene	142
	6.6	Quantum capacitance of metallic CNTs	144
	6.7	Quantum capacitance of semiconducting CNTs	145

Cambridge University Press	
978-0-521-51905-2 - Carbon Nanotube and Graphene Device Phys	ics
H. S. Philip Wong and Deji Akinwande	
Frontmatter	
Moreinformation	

	Contents		vii
	6.8	Experimental validation of the quantum capacitance for	147
	6.0	CNTs Viantia inductorea of motollin CNTs	147
	6.9	Kinetic inductance of metallic CNTs	148
	6.10	From Planck to quantum conductance: an energy-based	151
	6 11	derivation of conductance	-
	6.11 6.12	Summary Problem set	153 154
	0.12	Problem set	134
7	Carbo	on nanotube interconnects	157
	7.1	Introduction	157
	7.2	Electron scattering and lattice vibrations	158
	7.3	Electron mean free path	163
	7.4	Single-wall CNT low-field resistance model	168
	7.5	Single-wall CNT high-field resistance model	
		and current density	171
	7.6	Multi-wall CNT resistance model	174
	7.7	Transmission line interconnect model	176
	7.8	Lossless CNT transmission line model	182
	7.9	Lossy CNT transmission line model	183
	7.10	Performance comparison of CNTs and	
		copper interconnects	184
	7.11	Summary	186
	7.12	Problem set	188
8	Carbon nanotube field-effect transistors		191
	8.1	Introduction	191
	8.2	Survey of CNFET device geometries	192
	8.3	Surface potential	195
	8.4	Ballistic theory of ohmic-contact CNFETs	200
	8.5	Ballistic theory of CNFETs including drain optical	
		phonon scattering	206
	8.6	Ballistic CNFET performance parameters	209
	8.7	Quantum CNFETs	212
	8.8	Schottky-barrier ballistic CNFETs	213
	8.9	Unipolar CNFETs	223
	8.10	Paradigm difference between conventional 2D MOSFETs and	
		ballistic 1D FETs	225
	8.11	Summary	227
	8 1 2	Problem set	228

viii	Conte	ents	
9	Appli	ications of carbon nanotubes	233
	9.1	Introduction	233
	9.2	Chemical sensors and biosensors	234
	9.3	Probe tips for scanning probe microscopy	236
	9.4	Nano-electromechanical systems (NEMS)	237
	9.5	Field emission of electrons	238
	9.6	Integrated electronics on flexible substrates	240
	9.7	Hydrogen storage	242
	9.8	Composites	244
	9.9	References	245

#### Index

249

Cambridge University Press 978-0-521-51905-2 - Carbon Nanotube and Graphene Device Physics H. S. Philip Wong and Deji Akinwande Frontmatter More information

## Preface

Carbon nanotubes have come a long way since their modern rediscovery in 1991. This time period has afforded a great many scholars across the globe to conduct a vast amount of research investigating their fundamental properties and ensuing applications. Finally, after two decades, the knowledge and understanding obtained, once only accessible to select scholars, is now sufficiently widespread and accepted that the time is ripe for a textbook on this matter. This textbook develops the basic solid-state and device physics of carbon nanotubes and to a lesser extent graphene. The lesser coverage of graphene is simply due to its relative infancy, with a good deal of the device physics still in its formative stage.

The technical discourse starts with the solid-state physics of graphene, subsequently warping into the solid-state physics of nanotubes, which serves as the foundation of the device physics of metallic and semiconducting nanotubes. An elementary and limited introduction to the device physics of graphene nanoribbons and graphene are also developed. This textbook is suitable for senior undergraduates and graduate students with prior exposure to semiconductor devices. Students with a background in solid-state physics will find this book dovetails with their physics background and extends their knowledge into a new material that can potentially have an enormous impact in society. Scholars in the fields of materials, devices, and circuits and researchers exploring ideas and applications of nanoscience and nanotechnology will also find the book appealing as a reference or to learn something new about an old soul (carbon). Research into potential applications of carbon nanotubes has been progressing at a rapid pace. We have refrained from cataloging the continual progress in applications because it is simply impossible to keep up with the developments. Instead, we focus on the fundamental physics and principles so the reader can easily utilize them for the application at hand. Scattered throughout the book are many references that offer further coverage on the technical matters for the interested reader. However, owing to the restricted space there are many outstanding references that are not cited in this book. The avid reader will find much educational pleasure in perusing the technical literature from time to time, as this is a rapidly advancing field of research.

Cambridge University Press 978-0-521-51905-2 - Carbon Nanotube and Graphene Device Physics H. S. Philip Wong and Deji Akinwande Frontmatter <u>More information</u>

#### x Preface

Our "carbon journey" started when one of us was at the IBM T.J. Watson Research Center in Yorktown Heights, New York. Richard Martel (now at Université de Montréal) and Phaedon Avouris were generous with sharing their knowledge; and the management – John Warlaumont and Tom Theis – fostered a nurturing intellectual environment within the confines of an industrial research laboratory. The kernel of this book started from sections of a graduate-level course at Stanford University, first taught in the Fall of 2005. We thank the students who asked the pertinent questions and offered suggestions for improvements. We also want to take this opportunity to thank all our reviewers that devoted their valuable time in improving the final product. We incorporated most of your feedback and apologize that the limited time prevented some feedback from coming through. Thanks to Tayo Akinwande (MIT), Phaedon Avouris (IBM), Zhihong Chen (IBM, Purdue), Azad Naeemi (Georgia Tech), Eric Pop (UIUC), Emmanuel Tutuc (University of Texas), Yin Yuen (Stanford), and Jerry Zhang (Stanford). Your efforts are sincerely appreciated. Now that the textbook is published, we continue to welcome any and all feedback. Errors and omissions of all sorts will no doubt be brought to light despite our best intentions to produce an error-free textbook. As such, we anticipate errata will be made available at Cambridge University Press website and updated as the case warrants. An online resource for instructors is also available at the website: www.cambridge.org/wong.

It has been a great pleasure writing this textbook on the device physics of carbon materials. For us, this book was a labor of love, and the adventure involved in developing the content along a unifying theme was a great enriching experience and sufficient reward in and of itself. We hope that all readers will similarly find great enrichment and understanding as they explore the pages of this book. Finally, we would like to thank our families – the Akinwande clan, Cecilia Mui, Amelia Wong, and Emily Wong – for their support and understanding.

Cheers Deji Akinwande Austin, Texas

H.-S. Philip Wong Stanford, California

September 2010