

# INTEGRATED REGIONAL ASSESSMENT OF GLOBAL CLIMATE CHANGE

The aim of integrated regional assessment (IRA) is to promote a better understanding of – and more informed decisions on – how regions contribute to and respond to global environmental change. Understanding the regional implications of global environmental change is important, because it is at regional levels that global environmental change mitigation must be practiced and that human impacts will be felt. This book provides both a detailed treatment of the methodological challenges of IRA and a set of international examples illustrating the practice and results of assessments at the regional scale.

The first nine chapters of the book address IRA as a concept and process and set the stage from a methodological perspective. They address questions of scale, uncertainty, and quantitative versus qualitative approaches, as well as some particular conceptual frameworks for assessment. The next five chapters illustrate a range of IRA activities that have combined qualitative and quantitative approaches in innovative ways. The final five chapters look at IRA as a process from an implementation perspective.

This volume is the culmination of the START/CIRA/IHDP initiative responding to the needs of the global research programs International Geosphere–Biosphere Programme (IGBP), the World Climate Research Programme (WCRP), and the International Human Dimensions Programme on Global Environmental Change (IHDP). The book is ideal for researchers and policy makers in environmental science and policy.

C. Gregory Knight is a Professor of Geography and Professor of International Environmental Affairs at The Pennsylvania State University. He joined the faculty of Penn State in 1971, where he has served as a department head, as well as Vice Provost and professor in the School of International Affairs. His work on resource management includes development in Africa, energy management in the United States, and global climate change impacts on water resources. He was founding director of the National Science Foundation-funded Center for Integrated Regional Assessment, and is an honorary member and consultant to the Scientific Coordination Center for Global Change in the Bulgarian Academy of Sciences.

JILL JÄGER has worked as a consultant on energy, environment, and climate for numerous national and international organizations and has an extensive publication record. She was Deputy Director of the International Institute for Applied Systems Analysis (1994–1998) and Executive Director of the International Human Dimensions Programme on Global Environmental Change (1999–2002). She was a member of the Core Group of the EUfunded MATISSE (Methods and Tools for Integrated Sustainability Assessment) project (2005–2008).



# INTEGRATED REGIONAL ASSESSMENT OF GLOBAL CLIMATE CHANGE

C. GREGORY KNIGHT JILL JÄGER





> CAMBRIDGE UNIVERSITY PRESS Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo, Delhi, Dubai, Tokyo

> > Cambridge University Press The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United Kingdom by Cambridge University Press, UK

www.cambridge.org Information on this title: www.cambridge.org/9780521518109

© International START Secretariat 2009

This publication is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2009

Printed in the United Kingdom at the University Press, Cambridge

A catalog record for this publication is available from the British Library

Library of Congress Cataloging in Publication data Knight, C. Gregory. Integrated regional assessment of global climate change / C. Gregory Knight, Jill Jäger. p. cm. Includes index. ISBN 978-0-521-51810-9 1. Climatic changes. 2. Global environmental change. I. Jäger, Jill. II. Title. QC903.K57 2009 304.2'5-dc22

ISBN 978-0-521-51810-9 Hardback

2009022114

Cambridge University Press has no responsibility for the persistence or accuracy of URLs for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.



# Contents

	The authors	page v11
	Foreword	xiii
	Andreas Rechkemmer	
1	Integrated regional assessment	1
	C. Gregory Knight and Jill Jäger	
2	Integrated regional assessment: overview and framework	29
	Brent Yarnal	
3	Integrated regional assessment: qualitative and quantitative issues	50
	Elizabeth L. Malone	
4	Scale and scalar dynamics in integrated regional assessments	68
	Colin Polsky and Darla K. Munroe	
5	Uncertainty management in integrated regional assessment	90
	Marjolein B. A. van Asselt	
6	Vulnerability of people, places, and systems to environmental	
	change	117
	Neil Leary and Sara Beresford	
7	Integrating climate change adaptation into sustainable	
	development	150
	Thea Dickinson, Livia Bizikova, and Ian Burton	
8	Stakeholders in integrated regional assessment	160
	Ann Fisher and Bernd Kasemir	
9	A framework for integrated regional assessment	186
	C. Gregory Knight, Ann Fisher, and the CIRA Team	
10	The global context of integrated regional assessment	199
	Jill Jäger	



vi	Contents	
11	The Asia–Pacific integrated model	214
	Mikiko Kainuma, Yuzuru Matsuoka, Tsuneyuki Morita, and Kiyoshi Takahashi	
12	Integrated regional assessment for South Asia: a case study	231
	P. R. Shukla, Amit Garg, and Subash Dhar	
13	Climate change and regional sustainability in the Yangtze Delta,	
	China	255
	Yongyuan Yin	
14	From CLIMPACTS to SimCLIM: development of an integrated assessment model system	280
	Richard Warrick	
15	Why regional and spatial specificity is needed in environmental	
	assessments	312
	Rik Leemans	
16	The SARCS integrated study of Southeast Asia: research and assessment with networks	332
	Louis Lebel	
17	Institutions for collaborative environmental research in the	
	Americas: a case study of the Inter-American Institute for Global	
	Change (IAI)	352
	Diana Liverman	
18	The Regional Integrated Sciences and Assessments (RISA)	
	Program: crafting effective assessments for the long haul	367
	Roger S. Pulwarty, Caitlin Simpson, and Claudia R. Nierenberg	
19	Integrated regional assessment: reflections on the state of the art	394
	Jill Jäger and C. Gregory Knight	46.7
	Index	405



### The authors

SARA BERESFORD served as Project Coordinator for the Assessments of Impacts and Adaptation to Climate Change project at START until 2004. Her interests lie in promoting environmental awareness and change in human behavior through effective communication of scientific information to the public. She currently serves as the Managing Director of the EcoFocus Film Festival in Athens, GA, USA.

LIVIA BIZIKOVA is a Project officer with the Measurement and Assessment Team at the Institute for Sustainable Development (IISD). From 2005 till 2008, she worked as a postdoctoral fellow on linkages between climate change and sustainable development with the Adaptation and Impact Research Group, Environment Canada and the University of British Colombia.

IAN BURTON, Scientist Emeritus, Meteorological Service of Canada and Professor Emeritus, University of Toronto, works on natural and man-made hazards and disasters, risk assessment, environment and development, climate change adaptation, and the interface between science and policy. He was an IPCC Lead Author and is a Fellow of the Royal Society of Canada.

SUBASH DHAR is a Scientist at the UNEP Risoe Centre, Denmark. He worked on MARKAL and AIM families of energy—environment models. His current research interests include gas markets in India, climate change, and sustainable development. He has worked on many international projects and published in international journals.

THEA DICKINSON manages projects for the Clean Air Partnership (a science and policy NGO in Toronto, Canada) and is a partner in Burton Dickinson Consulting Ltd. She has over eight years experience in environmental and health research. She has authored several publications including *The Compendium of Adaptation Models for Climate Change*.



viii The authors

ANN FISHER, Professor Emerita of Environmental Economics at the Pennsylvania State University, led the Mid-Atlantic Regional Assessment (MARA) and the Consortium for Atlantic Regional Assessment (CARA). She examined how society might be affected by and respond to global climate change.

AMIT GARG is Associate Professor in the Public Systems Group at the Indian Institute of Management, Ahmedabad, India. His current research interests include carbon finance, energy policy, climate change vulnerability, adaptation and sustainable development, greenhouse-gas emission projections, and public management. He has co-authored four books, over 20 international papers and contributed to three IPCC reports.

JILL JÄGER was a Senior Researcher at the Sustainable Europe Research Institute in Vienna at the time of writing. She was Executive Director of the International Human Dimensions Programme on Global Environmental Change (IHDP) from April 1999 until October 2002. Her research focuses on integrated sustainability assessment and linking knowledge to action in the area of global environmental change.

MIKIKO KAINUMA is a chief of the Climate Policy Assessment Research Section at the National Institute for Environmental Studies, Japan. She has been a leader of the project for developing the Asia–Pacific Integrated Model (AIM) since 2003. Her research focuses on economic analysis of national/international policy for reducing greenhouse gases. She is a lead author of the IPCC Fourth Assessment Report.

Bernd Kasemir is a founding director of Sustainserv, a consulting firm focused on sustainable management and sustainability reporting. At the time of the work discussed here, he was a research fellow at the Swiss Federal Institute of Aquatic Science and Technology (Eawag) and at Harvard's Kennedy School of Government.

C. Gregory Knight is Professor of Geography and Professor of International Affairs at the Pennsylvania State University, USA. He was founding director of the Center for Integrated Regional Assessment and is an honorary member of the Scientific Coordination Center for Global Change in the Bulgarian Academy of Sciences. An IPCC reviewer, his work on global climate change in southeastern Europe focuses on water.

NEIL LEARY is Director of the Center for Environmental and Sustainability Education at Dickinson College, Pennsylvania. Previously he was a Senior Scientist with the global change SysTem for Analysis, Research and Training (START) in Washington, USA. He directed the Working Group II Technical Support Unit of the



The authors ix

Intergovernmental Panel on Climate Change and co-edited the IPCC 2001 report on impacts, adaptation, and vulnerability.

Louis Lebel is the founding Director of the Unit for Social and Environmental Research (USER). The unit carries out interdisciplinary, action-oriented research on environmental change in Thailand and, with collaborators, elsewhere in Southeast Asia. Since 1997 he has been the Science Coordinator for the START Global Environmental Change Programme in Southeast Asia.

RIK LEEMANS is Professor of Environmental Systems Analysis at Wageningen University. He directs the WIMEK graduate school, chairs the Earth System Science Partnership and contributed to the IPCC and Millennium Ecosystem Assessment. Research interests include integrated assessments of biodiversity, land-use/land-cover change, biogeochemical cycles, ecosystem services, and sustainable development.

DIANA LIVERMAN is Director of Oxford University's Environmental Change Institute and holds a university Chair in Environmental Science in the School of Geography. Her research focuses on the human dimensions of global environmental change including climate change policy and impacts, the social causes and consequences of land-use change, and environmental management in the Americas.

ELIZABETH L. MALONE is a senior research scientist at the Joint Global Change Research Institute. She is interested in policy-relevant sociological studies that integrate disparate worldviews, data sources, and scientific approaches. Research areas include comparative methods for analyzing country, sector, and local vulnerabilities to climate change and associated approaches to social—environmental scenarios.

YUZURU MATSUOKA is a Professor of Engineering at Kyoto University, Japan. He is one of the founding members of the AIM project, which started in 1991. His research focuses on integrated analysis of climate change problems using computer simulation models. He is a lead author of the IPCC Third Assessment Report.

TSUNEYUKI MORITA was a director of the Social and Environmental Systems Research Division at the National Institute for Environmental Studies, Japan. He was a coordinating lead author of the IPCC Third Assessment Report in Working Group III. He was one of the founding members of the AIM project and led the project from 1991 until his death in 2003.

DARLA K. MUNROE is Assistant Professor of Geography and Adjunct Assistant Professor of Agricultural, Environmental, and Development Economics at the Ohio



*x* The authors

State University, USA. She is an economic geographer with research interests relating to spatially-explicit land-use models, land markets and regional development, and land-use change at the rural-urban interface.

CLAUDIA R. NIERENBERG is Senior Advisor, Climate Program Office, National Oceanic and Atmospheric Administration. She has been responsible for the design and implementation of research programs to expand our understanding of the integrated societal effects of climate variability and change, and options for improving the use of scientific information in practical settings.

COLIN POLSKY is Associate Professor of Geography, and Research Associate Professor at the George Perkins Marsh Institute, Clark University. He is a human–environment geographer specializing in vulnerability assessments using a mixed-methods analytical approach, with specific focus on social causes of sub-urbanization in the USA, and associated social and ecological outcomes and responses.

ROGER S. PULWARTY, Director of the National Integrated Drought Information System at the National Oceanic and Atmospheric Administration focuses on climate in the Americas, social and environmental vulnerability, water resources, developing information services to support planning and adaptation to climate variability, and change in the USA and the Caribbean. He is a lead author on the IPCC Working Group 2.

P. R. Shukla is Professor in the Public Systems Group at the Indian Institute of Management, Ahmedabad, India. He is a lead author of several international reports on energy and environment, including IPCC reports. His publications focus on modeling and policies for developing countries. He is a consultant and adviser to international organizations, companies, and the Government of India.

CAITLIN SIMPSON is Program Manager of the Regional Integrated Sciences and Assessments at the Climate Program Office of the National Oceanic and Atmospheric Administration. She fosters integrated research among sciences to analyze how climate affects resource management and how climate science, forecasts, and impacts information could improve management and policy decisions.

KIYOSHI TAKAHASHI is a Senior Researcher of the Climate Risk Assessment Research Section at the National Institute for Environmental Studies, Japan. He joined the AIM project in 1995. His research focuses on assessment of climate change impact on agriculture and water resources at the global scale. He is a lead author of the IPCC Fourth Assessment Report.



The authors xi

MARJOLEIN B. A. VAN ASSELT holds the Risk Governance chair in the Faculty of Arts and Social Sciences, Maastricht University, the Netherlands. She is a member of the Dutch Scientific Council for Government Policy (WRR) and of the Young Academy, part of the Royal Netherlands Academy of Arts and Sciences (KNAW). Her research focuses on uncertainty, risk, and foresight.

RICHARD WARRICK, formerly Deputy Director of the International Global Change Institute at the University of Waikato (NZ), is Professor of Climate Change Adaptation at the University of the Sunshine Coast, Australia. His interests lie in climate change impacts and adaptation, and integrated models and tools for assessment and decision making. He was a lead author in all four of the IPCC assessment reports.

Brent Yarnal is Professor of Geography at the Pennsylvania State University, USA where he was recently Director of the Center for Integrated Regional Assessment. His research focuses on the local dimensions of global change, bridging the physical and social sciences, and integrating climate change, natural hazards, land-use change, and the use of environmental information in decision making.

YONGYUAN YIN, environmental scientist with the Adaptation and Impacts Research Division, Environment, Canada, studies climate change in China and Canada to link adaptation policies with societal sustainability, climate change vulnerability assessment and adaptation policy evaluation, environmental sustainability, land use and water planning, sustainable forest management, and government policy.



## Foreword

Global climate change is occurring at an unprecedented rate and affecting all facets of the Earth's ecosystems as well as our societies. Human activities lie at the very heart of global change and alter the Earth's environment from a local to global scale and from biogeochemical to hydrological and ecological processes. Human behavior is, for example, responsible for a variety of large-scale changes, which range from systematic developments such as climate change to cumulative impacts such as the decrease in biological diversity. Quite suitably, the current era of Earth's history has been named the Anthropocene. Consequently, there is an increasing realization that these human-environment interactions give rise to complex and dynamic socio-ecological systems in which both anthropogenic and biophysical drivers play central roles in environmental changes. Climate change is the most prominent one. It affects socio-ecological systems and significantly impacts on human development, well-being, and vulnerability. Inevitably, the human dimensions of climate change are increasingly becoming issues of governance, adaptation strategies, and scientific agendas. It is impossible then to address climate change without placing humans and society at the center of the debate.

As a biogeophysical phenomenon, climate change occurs over a range of spatial scales. The regional implications of global climatic change are vast and it is at this level that assessment of environmental change, and society's response and adaptation to change are crucial. With the vast number and variety of actors at a regional level in a social system it is important to include integrated systems in the assessments of change. Appreciation of this large-scale impact of global climate change and the types of impact on society requires new perspectives such as that of "integrated regional assessments." This book addresses both the dearth of knowledge of the regional dimensions of global climate change and describes the combined approach of a regional perspective with integrative assessments. Integration is a key term highlighting the interdisciplinary nature that involves cross sectoral stakeholder collaboration from the realms of science, policy, and



xiv Foreword

society. The choice of scale in this approach is significant as it is one attribute that can guide the examination of global environmental problems. The regional implications of climate change are significant as it is at this level that impacts are felt by humans and that mitigation and adaptation must be practiced. For instance, it is here that the ecosystem services paradigm becomes important. The related processes of environmental change affect all ecosystems whether directly or indirectly. Consequently, changes of ecosystem services will directly affect the people within this region that rely on these services for their well-being and security.

This book sets out what is known about integrated regional assessment, specifically focusing on climate change, and addresses a number of important but difficult questions. What are the concepts and processes of integrated regional assessment? Why is a regional level of spatial specificity needed in environmental assessments? What is the global context of integrated regional assessment? How can integrated regional assessments be applied to scientific agendas and deployed at local and national levels? How can integrated regional assessments be used in collaboration with national assessment processes and scientific agendas? The spatial context of integrated regional assessment is emphasized in a range of case studies that have combined qualitative and quantitative approaches in innovative ways. By raising and attempting to address these questions in this volume, the editors and authors endeavor to highlight an approach of environmental change research which has previously received little focus.

Andreas Rechkemmer Former Executive Director, International Human Dimensions Programme on Global Environmental Change Bonn