

Contents

| | |
|---|-----------|
| <i>Preface</i> | <i>ix</i> |
| 1 Introduction | 1 |
| 2 Structure and electronic structure of cuprates | 9 |
| 2.1 Crystal structures | 11 |
| 2.2 Electronic structures | 16 |
| 2.3 Surface states | 32 |
| 2.4 Cluster models | 34 |
| 2.5 Localized models for electronic structure | 37 |
| 2.6 Doping | 41 |
| 2.7 Stripe phases | 44 |
| 3 Photoemission – Theory | 47 |
| 3.1 Introduction | 47 |
| 3.2 The three-step model | 50 |
| 3.2.1 Escape | 50 |
| 3.2.2 Transport and inelastic scattering | 51 |
| 3.2.3 Photoexcitation | 56 |
| 3.2.4 Assembling the steps | 63 |
| 3.2.5 Other types of spectra | 64 |
| 3.3 Angle-resolved photoemission | 67 |
| 3.4 An example | 70 |

| | | |
|----------|--|------------|
| vi | Contents | |
| | 3.5 Photoelectron and photohole lifetimes | 78 |
| | 3.6 Core-level spectra | 84 |
| | 3.7 The one-step model | 87 |
| | 3.8 Many-body treatment | 91 |
| | 3.9 Sudden vs. adiabatic limits | 96 |
| | 3.10 Inverse photoemission | 98 |
| | 3.11 Additional aspects of photoemission | 101 |
| | 3.11.1 Cooper minimum | 102 |
| | 3.11.2 Resonance photoemission | 102 |
| | 3.12 Core-level satellites | 107 |
| | 3.13 Gap states | 111 |
| | 3.14 Other spectroscopies | 111 |
| 4 | Photoemission – Experimental | 119 |
| | 4.1 Radiation sources | 119 |
| | 4.2 Monochromators | 128 |
| | 4.3 Other optical elements | 134 |
| | 4.4 Electron energy analyzers | 135 |
| | 4.5 Inverse photoemission | 147 |
| | 4.6 Background subtraction | 149 |
| | 4.7 Sample preparation | 152 |
| | 4.8 Potential improvements | 159 |
| 5 | Examples | 161 |
| | 5.1 Introduction | 161 |
| | 5.2 Sodium | 162 |
| | 5.3 Copper | 165 |
| | 5.4 Nickel | 182 |
| | 5.5 Nickel oxide | 189 |
| | 5.6 Cuprous oxide | 203 |
| | 5.7 Cupric oxide | 206 |
| | 5.8 Summary | 212 |
| 6 | Early photoelectron studies of cuprates | 213 |
| | 6.1 Introduction | 213 |
| | 6.2 Bi2212 | 220 |
| | 6.3 Y123 | 232 |
| | 6.4 $R_{2-x}Ce_xCuO_4$ | 236 |
| | 6.5 Other cuprates | 239 |
| | 6.6 Summary | 241 |

| | | |
|-----------|---|------------|
| 7 | Bi2212 and other Bi-cuprates | 243 |
| 7.1 | Bi2212 above T_c | 244 |
| 7.1.1 | Valence bands | 244 |
| 7.1.2 | Core levels | 260 |
| 7.2 | Bi2212 below T_c | 262 |
| 7.3 | Doping studies | 285 |
| 7.4 | Other Bi-cuprates | 305 |
| 7.5 | Summary | 308 |
| 8 | Y123 and related compounds | 311 |
| 8.1 | Introduction | 311 |
| 8.2 | Ba 4d spectra | 312 |
| 8.3 | Valence bands and Fermi surface | 314 |
| 8.4 | Effects of stoichiometry | 332 |
| 8.5 | Core-level spectra | 336 |
| 8.6 | Related cuprates | 337 |
| 8.7 | Summary | 339 |
| 9 | NCCO and other cuprates | 341 |
| 9.1 | NCCO | 341 |
| 9.2 | Other cuprates | 350 |
| 10 | Surface chemistry | 357 |
| 10.1 | Oxygen removal and replacement, water adsorption | 358 |
| 10.2 | Metal overlayers on cuprates | 360 |
| 10.2.1 | Metals on CuO | 361 |
| 10.2.2 | Metals on Bi2212 and related cuprates | 364 |
| 10.2.3 | Metals on Y123 and related cuprates | 373 |
| 10.2.4 | Metals on other cuprates | 373 |
| 11 | New techniques in photoelectron spectroscopy | 375 |
| 11.1 | Photoelectron microscopy | 375 |
| 11.2 | Angle-resolved resonance photoemission | 380 |
| 11.3 | Two-photon photoemission and related techniques | 381 |
| 11.4 | Coincidence techniques | 382 |
| 11.5 | Spin-resolved photoemission | 384 |
| 12 | Results from selected other techniques | 387 |
| 12.1 | Infrared spectroscopy | 387 |
| 12.2 | Raman spectroscopy | 389 |
| 12.3 | Tunneling spectroscopy | 394 |

| | | |
|------|---|------------|
| viii | Contents | |
| | 12.4 de Haas–van Alphen measurements | 397 |
| | 12.5 Angular correlation of positron–electron annihilation gamma rays | 398 |
| | 12.6 Electron energy-loss spectroscopy, soft x-ray absorption, and soft x-ray emission | 399 |
| | References | 405 |
| | <i>Index</i> | 429 |