

Paradox and Paraconsistency

Conflict Resolution in the Abstract Sciences

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Conflict in the Abstract Sciences

How can a philosophical enquiry be conducted without a perpetual *petitio principii*?

Frank Ramsey, *The Foundations of Mathematics*, 1931

CONFLICT RESOLUTION

1905 was an intellectually eventful year. It saw the birth of Russell's "On Denoting" and Einstein's special theory of relativity, to say nothing of the founding of the Bloomsbury Group and the appearance of *The Psychopathology of Everyday Life* and the Binet Test. Relativity theory was attended by conflict right from the beginning, and barely a year passed before disconfirming experimental evidence was unearthed.¹ In one of the century's more alluring examples of a theory's resistance of empirical discouragement, relativity hung on until, in 1914–16, it received experimental confirmation strong enough to annul the Kaufmann deviations.² While the new physics was awaiting empirical respectability, the foundations of geometry occasioned considerable contention. Frege and Hilbert saw things differently. They clashed over the nature and function of the geometric axioms. Frege saw the axioms as a reflections of conditions necessary for spatial experience, and so as synthetic propositions known a priori. For Hilbert, axioms are the theoretical constructions of the geometer, epistemically secure if consistent. On Hilbert's view, whether a geometric axiom strikes us as a priori true, or, for that matter, as a priori false, is a fact about us, not about geometry intrinsically. Axiom sets are consistent specifications of mathematically possible spaces, whose physical realization, or not, tells neither for nor against the axioms.

We have here two historically important cases of scientific disagreement in the twentieth century. Anyone interested in the dynamics of conflict resolution in the sciences will at once see the two cases as importantly different. The Einstein-Kaufmann conflict was eventually settled. The Frege-Hilbert conflict just went on and on, and ended without resolution, on Frege's death in 1925.

The conflict resolution theorist is bound to make something of this difference and to offer an account of it. On the face of it, he has not far to go for an answer. Relativity theory triumphed in the end on the strength of its *empirical adequacy*.³ The dispute between Einstein and Kaufmann was settled by Nature. The intractability of the standoff between Frege and Hilbert is similarly explained, but in the opposite direction, so to speak. In this case, empirical adequacy was not an applicable or appropriate resolution device. There was a dispute with regard to which Nature had nothing to offer.

In a rough and ready way, theories divide into those for which the criterion of empirical adequacy is a legitimate standard, if not always a fulfilled one, and those for which the standard is made inappropriate by subject matter and method. This distinction I mean to mark by saying that theories that are properly held to the condition of empirical adequacy are *empirical theories*, whereas those that are not are *abstract theories*.⁴ Rough as it is, our present distinction is consequential in a way that I shall try to take the measure of. Empirical theories have inbuilt procedures for conflict resolution – as with the Einstein-Kaufmann dispute – however complex and indirect they may be. Collectively these mechanisms are a theory's empirical check. Abstract theories, such as the epistemology of geometry, lack these mechanisms for conflict resolution, and it is this that makes them methodologically interesting. Among empirical theorists there is a philosophically naive but utterly entrenched inclination to suppose that a theory's empirical check is also a *reality* check for it; that a theory is objectively right in its claims to the extent that it "checks out" empirically. Abstract theories lack an empirical theory's way of negotiating its reality check.⁵ On the face of it, this matters. We are left to ask whether abstract theories have reality checks and, if so, what they are and how we come to recognize them. If not, how can the principles and laws of such theories count as true?

Some readers will not much like the putative dualism of the empirical and abstract. Perhaps these skeptics will have been persuaded by Quine's arguments, which for their influence and their artistry demand a certain tarrying over here. Quine is a radicalizer of Duhem's comparatively modest holism about physics. In Quine's hands, the confirmation due to *any* theory applies to it whole and entire rather than sentence by sentence. Confirmation goes global, attaching to individual sentences honorifically, in a mode of attribution that, save for the honorific, would be the ancient fallacy of division.

Mathematics is indispensable to science. Seen in Quine's way, mathematics is essential to a theory's implication of its observation categoricals. Observation categoricals are sentences such as "When it snows, it's cold." They are the "direct expression of inductive expectation," the first intimation of a theory's laws (Quine, 1995, p. 25). This should make us curious about whether its indispensability to theories having empirical checkpoints is sufficient to pass on the status of empirical to mathematics itself, as relativity theory was thought to do for Riemann's geometry. Quine is affirmatively minded.

He asks – rhetorically – whether there is any epistemological advantage in treating the mathematics of a globally confirmed theory differently from what its confirmation requires for the theory itself. Although mathematics lacks empirical *content*,⁶ Quine finds no good reason to contrive, for scientifically useful mathematics, a separate epistemology. It is not just that mathematical epistemologies have had a bad track record (as witness, the unhappy careers of synthetic apriority and reductive analyticity); it is also a matter of methodological economics. Why should a scientific theory have two epistemologies – one for the empirical part, the other for its mathematical part – when one could be made to do across the board?

Quine also supposes that the same can be said for a theory's meaning. It is often said that the rejection of verificationism has long been a centerpiece of Quine's philosophy. Thinking so is a serious misapprehension. Quine is an unwavering verificationist. Meaningfulness is conferred by confirmation; not, as we see, sentence by sentence, but on whole theories. Thus, Quine's brand of verification encompasses what is sometimes called "semantic holism," and his complaint against Carnap and other positivists is a complaint not against the verificationism of their semantics but against its atomism; its supposed application to sentences one by one. What, then, of those individual sentences? Do they acquire their meaningfulness from the confirmation conferred on the theories in which they occur? If so, is the achievement of local meaningfulness also honorific, as we supposed in the case of local confirmation? If so, then semantic holism is a dislocator of classical logic. If a theory's sentences are meaningful one by one only in an honorific sense, then they are true or false only honorifically, too – which makes the Bivalence law of classical logic false. Not so for Quine, of course, who rejects any notion of meaning linked to the suggestion that the bivalence of a sentence requires it to have a propositional context. Still, on reflection, we might think better of honorificizing our inferences in *sensu diviso* and plump for more straightforward deductions. In the case of confirmation in isolation, we could say that a sentence is actually, not honorifically, confirmed by its membership in the set of derivations of a confirmed theory. In the logico-semantic case, we could likewise say that a sentence is actually, not honorifically, meaningful by its membership in the set of sentences of a meaningful theory. We would appear to be wrong each time. Equivocation looms. In its application to a theory, "confirmed" means something like "stands in such-and-so relation R to the available evidence," whereas in its application to sentences, "confirmed" means "is derivable in a confirmed theory." Thus it is a non sequitur – the fallacy of division – to infer the confirmation of sentences from the confirmation of the theories in which they are derived. That is to say, any such inference is the fallacy of division *if holism is true*. Whatever the relation R to which a confirmed theory stands to the available evidence, and to which it owes its confirmation, holism insists that it is not *that* relation that any of a confirmed theory's assertions bears to the available evidence. It is the same way with attributions of

meaningfulness. When applied to a theory, “meaningful” means “confirmable,” that is, “could come to stand in relation R to evidence that becomes available.” As applied to sentences, “meaningful” means “is asserted or denied by a theory that might bear R to evidence that becomes available,” a relation in which if holism is true sentences cannot stand one by one. This leaves the semantic holist painfully positioned. He can have his truth-valued sentences either honorifically or actually, but at a cost either way. If honorifically, he must – short of Quine’s semantic skepticism – reconcile himself to the loss of classical logic. If nonhonorifically, the price is worse; it is the fallacy of division.

Perhaps the dilemma could be slipped if the requisite ambiguities were noted. Then the inferences,

1. T is a confirmed theory
2. Φ is derivable in T
3. Therefore Φ is a confirmed sentence

and

- a. T is a meaningful theory
- b. Φ is a sentence of T
- c. Therefore Φ is a meaningful sentence

would duck the charge of equivocation if the terminal “confirmed” expressed something different from the initial “confirmed,” and likewise for “meaningful.” But unless we have antecedent knowledge of the sense of these terminals, we shall not know what these inferences convey, never mind whether the conveyance is valid. We could venture that in line (a) “meaningful” means “verifiable,” and suppose that in its recurrence in line (c) it means “has a truth value.” There is something to be said for this line of thought, since even if “truth-valued” does not appear to follow from “meaningful,” it may appear to follow from “verifiable,” from which *on the verificationist account* “meaningful” itself follows. The transitivity of following from takes care of the rest. If this is our solution, it is consequential well beyond our interest in the derivation of (c) from (a) and (b). It gives us grounds for thinking that verificationism is not a theory about meaningfulness after all, or, to say the same thing more circumspectly, that it is an account of meaningfulness in a technical and neologistic sense of the term.

If we have found a way to reconcile ourselves to the validity of the derivation of (c) from (a), and (b), I confess that I am at a loss about the move to (3) from (1) and (2). I am unable to contrive an interpretation of “confirmed” in (3) that leaves any chance of the derivation’s validity. Perhaps it is just a failure of imagination. I do not, in any case, propose to attempt to bring our discussion of holism to a final solution.

The general specification of R is, of course, not an open-and-shut affair; neither is the tightness of the fit of evidence to confirmations that R affords an

easy thing to describe. Proxy functions are part of this problem. As we saw in the Prologue, “a set of sentences can be reinterpreted in any one-to-one way, in respect of the things referred to, without falsifying any of the sentences” (1995, p. 72), and so “if we transform the range of objects of our science in any one-to-one fashion, by reinterpreting our terms and predicates as applying to new objects instead of the old ones, the entire evidential support of our science will remain undisturbed” (1992, p. 8).

Those who do not mind the intended dualism between empirical and abstract theories will welcome the difficulties in which semantic holism finds itself. Perhaps they will go even further, insisting that precisely where the dualism is most sharply edged it does not matter whether semantic holism is true. Its edges are sharpest in the higher reaches of mathematics and pure logic, and it is there that holism – which if plausible at all is plausible for scientifically applicable mathematics – quickly becomes implausible for its attempt to snare inapplicable mathematics as well. Quine himself asks “about the higher reaches of set theory itself and kindred domains which there is no thought or hope of applying in natural science” (1992, pp. 5–6). His answer resembles the stand he takes against a special epistemology for mathematics: It is uneconomical to contrive a special semantics for the higher reaches, whose sentences “are couched in the same vocabulary and grammar as applicable mathematics” (1992, pp. 5–6). Special accommodation would involve “an absurdly awkward gerrymandering of our grammar” (1992, pp. 5–6).

For those who are still not drawn to our dualism, Craig’s Theorem beckons attractively (1953). The theorem asserts that for any theory in which a partition exists on empirical and theoretical terms, theorems containing theoretical terms reduce without relevant loss to theorems containing empirical terms only. Thus, in principle, empirical terms are all the terms required for the adequacy of any theory containing theoretical terms as well. There is no effective means of finding a purely empirical reducer for any such mixed theory. Craig’s Theorem requires the prior specification of the mixed theory in order that the existence of the pure theory can be proved in the abstract. Therein lies a distinction resembling the one I am seeking to invoke. An abstract theory *modulo* Craig’s Theorem is a theory requiring such prior specification.

Ramsey sentences offer the same appearance of relief from dualism. They are Ramsey’s way of eliminating reference to theoretical entities in science. Ramsey sentences arise from term-containing sentences by displacement of terms with individual variables and concomitant binding by way of the existential quantifier. Applied to a theory’s every theoretical term-containing sentence, Ramsification lays bare the topic-neutral structure of the theory (Ramsey, 1931). Ramsification anticipates Quine on proxy functions, a move that extends a thesis about the reference of theoretical terms to a thesis about the reference of all terms. Dualism is avoided right enough, but it is *term*-dualism (which is what I do not want) rather than *theory*-dualism (which is what I do want).

An abstract science is a discipline that makes its enquiries and reaches its conclusions without the benefit or discipline of empirical checkpoints. It is sometimes contended that the definition is empty, since no science or discipline worthy of the name fails to engage the empirical check, however indirectly. Even the upper reaches of mathematics, it is said, make contact with the empirical by virtue of the indispensability of some branches of mathematics to the hard sciences.

I am unconvinced by this argument, but it does not matter. My conception of abstractness is a practical one. When a set theorist or a topologist or logician announces his axioms, produces his arguments, and draws out his theorems, he rarely, if ever, does so with improvements to physics in mind, and he never allows his conclusions to be judged by their amity toward empirical science, even if in the fullness of time such amity proves to have existed (consider, for example, the surprising applicability of category theory to the methodology of mathematical physics, or the fact that the permanent stoppage of the heart cannot be explained fully without a theorem from topology). This is abstractness at the level of praxis, but it is abstractness enough for the purposes of this book.

The general question is “How do abstract theorists go about their business without the comforts of empirical checkpoints?” The particular question is “How do abstract theories resolve their differences, especially their heartfelt differences about basic things?” The particular question is important in a way that the general question is not, important as it is otherwise. Pressing the particular question of conflict resolution strategies is an efficient way of unmasking bad answers to the first, more general, question.

Our two questions bear on a third. Can the abstract theorist do his business and resolve his quarrels in ways that preserve realist assumptions; that is, in ways that allow him to think that how well he does his business and how well he settles his disputes will be a matter of how close he gets to the objective facts of the matter at hand?

It is easy to see that two methodologies dominate the abstract sciences. One I shall call the *method of intuitions*. The other is the *method of costs and benefits*. On the face of it, the method of intuitions is tailor-made for scientific and philosophical realism. The cost-benefit methodology is more a creature of prudence, an exercise in doxastic economics, so to speak. It delivers the goods for realism, if at all, in a much less obvious and less direct way.

A Medical Analogy

I do not, as I say, intend to pursue the distinction between empirical and abstract theories to its philosophical finality. Imperfectly drawn as it may be, and philosophically questionable as it may also be in the abstract, in practice it is a distinction too attractive not to make use of. In this book, I shall be concerned with disagreements that arise in abstract theories such as logic, set theory, formal semantics, and certain of the normative disciplines. The first

task is to specify the dialectical structure of disagreements of the sort that I wish to examine. For this a medical metaphor is an inviting way of proceeding. In medical practice, when an injury or an illness befalls,

- * **symptoms** present themselves.

There follows

- * a **diagnosis**

and then,

- * some **triage**.

Thereupon

- * a **treatment** is proposed

in light of which

- * a **prognosis** is made.

It is much the same way with conflict in abstract theories. If we take, as an example, the sound and fury that attend the classical theorem known as *ex falso quodlibet* – that if a contradiction is provable then every sentence is provable – our medical figure applies as follows.

Symptoms. In the metatheory of classical propositional logic and in modal systems such as Lewis' S5 *ex falso* is provable.

Diagnosis. A great many theorists are agreed that the derivability of *ex falso* is paradoxical, at least in the sense of being sharply *counterintuitive*.

Triage. Depending on what is made of the verdict of paradox, a number of possibilities present themselves. Triage is a way of answering the question, "How bad is it?" Historically, answers range all the way from "It is not bad at all; *ex falso* is counterintuitive only in a weak sense; it is only a surprise," to "It is very bad. It is counterintuitive in a sense strong enough to convict any theory in which *ex falso* is derivable of the derivation of a falsehood." An even stronger finding is possible: *ex falso quodlibet* violates the very meaning of "is derivable" and "implies," and so is not just false but semantically or conceptually false, hence necessarily so (Anderson and Belnap, 1975, ch. 1).

Treatment. Depending on the results of triage, treatment can range all the way from none to a decision to change one's logic in ways that block *ex falso*. Historically, proponents of systems of strict implication opted for the first treatment-option. For others, such as paraconsistent logicians – relevant logicians being prominent among them – the required treatment is the displacement of the

“classical” treatment of implication by some or other deviant variation, such as the relevant system R of Anderson and Belnap (1975, pp. 249–391).

Prognosis. Where treatment is deemed unnecessary there is no cause for prognosis. For those who opt for treatment, there should be some thought as to how to answer the question, “How will the patient now fare?” If one is a relevant logician, there will be a disposition to argue that not only will the patient benefit from the expulsion of a false theorem, but that in its restored state the patient will do a better job in giving a realistic account of rules of deductive inference, for example.

As conceived of by theorists such as Russell at the turn of the twentieth century, set theory threw up an interesting symptom. The symptom was the derivability in intuitive set theory of the Russell Paradox, which demonstrates the existence of a set that is a member of itself if and only if it is not a member of itself. The diagnosis, again, was paradox, and by a broadly accepted triage the paradox was very bad news indeed, since, with the aid of the law of Excluded Middle, it implies an explicit contradiction in which the Russell set both is and is not a member of itself. In the years since 1902, nearly all theorists have agreed on at least the general type of treatment required. The consensus was that intuitive set theory would have to be replaced by a new theory constructed in ways to avert a Russell Paradox. Prognoses varied depending on how close the analyst was to the symptomatic event of 1902. First-generation postparadox theorists took comfort in the presumed consistency of set theories such as ZF (Zermelo-Fraenkel), ZFC (Zermelo-Fraenkel with Choice), and NBG (von Neumann-Bernays-Gödel), but they also were disposed to think of the mechanisms for the exclusion of the Russell set as artificial, *ad hoc*, and counterintuitive. Later generations came to see ZF, or some or other spinoff of the cumulative hierarchy, as capturing the ordinary concept of set – as natural as breathing almost.

Our two problem cases touch on and, so to say, infect one another. What to make of the Russell Paradox hinges in no mean way on what a contradiction implies, hence on whether *ex falso* is true. As a matter of contingent history, opinion has clustered around the position that because *ex falso* is true the Russell Paradox is bad enough to require the replacement of the old set theory with something new and different enough to prevent paradox from reobtruding. Here is a position in which when a theory T collides with classical logic we change T; we do not change logic. It is well to note, however, that in principle the reverse strategy is also available: *Retain* T and *change* logic. Such is the position of paraconsistent logicians, logicians who see *ex falso* as false, and for whom the presumed coincidence between a theory’s negation inconsistency and its absolute inconsistency is a mistake. A paraconsistent theory is both inconsistent and not; it is negation-inconsistent and yet absolutely consistent. Beyond these fundamentals, paraconsistentists fan out in two main, and irreconcilable, directions. There are those for whom the negation-inconsistency of

a theory T is bad enough, short of implying omniderivability, to call for a successor theory T^* . Relevant logicians typify this first sort of paraconsistentist. When faced with paradox in a theory T they are *comprehensive* revisionists, changing *both* logic and T alike. Paraconsistentists of a less meddlesome stripe try to hold the line at a change of logic only. It is more easily said than done, of course. The main idea amounts to a bold new policy for the management of negation-inconsistency, its triage and its treatment. What is proposed is that negation-inconsistency is not so bad after all, certainly not bad enough for surgical removal. Under any such policy, set theory will continue to be done with the old inconsistency left in. But it will not be the old set theory. New or old, what a theory of sets is able to prove depends on what it takes sets to be, and on the implication relation that it embeds. A logic in which negation-inconsistency does not imply absolute inconsistency is a logic different enough from classical logic to produce, in the application of its proof structures even to the old axioms on sets, theorems quite different from those authorized by the old theory, the theory got by applying classical proof procedures to the same axioms.

Paraconsistentists of this second stripe likewise come in two variations, weak and strong. The weak paraconsistentist sees a distinction between inconsistency and contradiction. Say what you like about inconsistency, it is not as bad as contradiction, which is very bad. A theory is inconsistent in the sense presently intended if and only if it is negation-inconsistent, that is, for some sentence Φ both it and its negation $\lceil \neg\Phi \rceil$ are derivable. A theory contains a contradiction if and only if, for some Φ , $\lceil \Phi \wedge \neg\Phi \rceil$ is derivable. Among paraconsistentists of this weak breed there is something to be said for suspension of the Adjunction law, which proves the conjunction of arbitrary pairs of theorems. Those who opt for the cancellation of Adjunction can block outright contradiction, but they tend to vary in their treatment of inconsistency, a matter which I take up in Chapter 3. More radical are paraconsistentists of dialethic stripe. “Dialethic” comes from the Greek words for “two” and “truth.” It conveys a tolerance for the truth of contradictory pairs of propositions. Equivalently, it allows in selective cases for concurrent possession of both truth values. Dialethic logic may first have been a gleam in the eye of Heraclitus and – however tacitly and half-bakedly – it has tried to hold the coat of Philosophical idealism in certain of its variations, as witness the *Greater* and *Lesser Logic* of Hegel.

It would be handy to have names for the various ways of being a generic paraconsistentist. I reserve the terms “relevant logician” and “relevantist” for paraconsistentists of the first stripe, that is, for those whose treatment of paradox calls for across-the-board change to the paradoxical theory and its underlying logic alike. Weak paraconsistentists and strong paraconsistentists, or dialethists, agree on a policy for a theory’s inconsistency, namely, that it need not destroy the theory even if left in, but they fall out over contradictions, with the dialethist allowing that, on occasion, even they might be true.

Our medical metaphor also can be put to use in the case of a third paradox, the so-called Tarski paradox, but that belongs in truth to Eubulides (thought credited by St. Paul to Epimenides). Consider these statements.

- (1) is not true
- (2) is a statement (i.e., a bivalent sentence).

(1) is true if and only if it is not. Here, too, the symptoms are the demonstration of something paradoxical. Diagnosis reveals a contradiction, since with the aid of Excluded Middle, the express contradiction “(1) is true *and* (1) is not true” is derivable. As before, most triagists agree that contradiction is a serious problem, certainly serious enough to justify even rather radical steps to evade the paradox.⁷ Accordingly most treatments involve – or are represented as involving – the gerrymandering of language in ways that prevent paradoxical recurrence. Prognosticators are hopeful, by and large. Although the Tarski Paradox puts natural language out of business, paradox-free formalized languages are available, either in fact or in principle, to do the serious business of science.

Conflicts in the abstract sciences owe something of their dialectical flavor to our medical metaphor. Theorists can disagree in their diagnoses, in their triagic and treatment judgments, and in their prognoses. The Liar Paradox illustrates diagnostic disagreement. Some theorists think that the Liar *proof* is defective. For them there is no paradox, and if there is trouble anywhere near at hand, they tend to see it in the assertion that the Liar sentence is indeed a statement. Rival reactions to the Russell Paradox and *ex falso* are not typically diagnostic. For the most part, theorists agree that there is something genuinely paradoxical under foot. In the case of *ex falso*, there is substantial disagreement at the level of triage, with judgments ranging from “not at all bad” to “not all that bad” to “horrible.” Beyond that, contentions ramify noticeably. Strictists (so called after Lewis’s systems of strict implication) require no more by way of treatment than the reassurance of a supplementary proof, revealing that *ex falso*’s triagic worst is “not all that bad.” Among those of harsher triagic judgment, contentions and alarums cluster around treatment options, and to a lesser extent around prognostication. With set theory we see a different contention space: Broad symptomatic agreement (there is a paradox here); broad diagnostic agreement (the paradox proves a contradiction); a solid if not perfect consensus about triage (a bad problem); and a flourishing dissensus about treatment, both as regards *what* should be treated, and by what *means*.

The historical record reveals, for both the Liar and the Russell Paradoxes, diagnoses and triages more dire than those we have examined so far. Concerning the latter, Frege and Russell saw the paradox as a proof of the inconsistency of the concept of set. Tarski thought that the Liar established the inconsistency of the concept of truth;⁸ or in greater strictness, as I have suggested, that it showed the inconsistency of the concept of statement, that is bivalent

sentence.⁹ In each case, the proof of the “concepts” inconsistency destroyed the concept. There is no concept of set and there is no concept of statement. Suppose we dub Frege’s reaction to the Russell Paradox *Frege’s Sorrow*. It may strike us as an extreme response, a trifle on the hysterical side. Frege opined that arithmetic was toppled by the paradox, that it lacked secure foundations. So harsh a triagic judgment places great weight on treatment options, needless to say. I shall reserve discussion of these options (one of which will surely be the *null* option: there is nothing to be done) until Chapter 5. For now it suffices to see something of the structure of *Frege’s Sorrow*. It may be understood as the following argument, generalized to any concept K

- (1) The putative concept K is inconsistent [diagnosis]
- (2) Therefore, there is no concept K [triage]
- (3) That is, there is nothing to the very idea of K [restatement of (2)]
- (4) Since there is no concept K, K has no extension. Alternatively, K has the null extension. [from the analysis of concepts]
- (5) Therefore, there are no K-things.

Some readers will see a non sequitur in the move from (1) to (2), from (2) to (3), (3) to (4), and (4) to (5). I count myself as one of them. For present purposes, the passage from (4) to (5) stands out. The derivation is valid only if the existence of K-things requires or guarantees the existence of the concept, that is something like the class of those very K-things. Whether this is so has been a philosophical vexation throughout Western Philosophy, and it afflicted Cantor’s and Dedekind’s and Zermelo’s efforts to get a usable concept of set up and running for service in transfinite arithmetic. The question is affirmatively answered in two Philosophical traditions – platonism and idealism. In the first instance, there can be no K-things unless there is a Form of K, and, in the second, there can be no K-things if there is no idea of K-things, that is unless K-thingness is more or less successfully *conceived*.

It is true that I have characterized the reactions of Frege, Russell, and Tarski somewhat starkly. To the extent that their reactions have become something approaching the received wisdom among Philosophers, it may even be supposed that I have misrepresented their positions. It is customary among Philosophers to say that what the paradoxes cost us (or them) is the *intuitive* idea of set and the *intuitive* idea of truth (or the *intuitive* idea of statement). So understood, *Frege’s Sorrow* proclaims the nonexistence not of sets, but of sets in the intuitive sense; in application to the Liar Paradox it proclaims the nonexistence not of truth, but of truth in the intuitive sense, and of statements in their natural language sense. I shall say in Chapter 7 why I think this softer reading is wrong, that is, a misreading of the original texts. But even if I am wrong in resisting this softer reading, it is not all that soft. It lands the set theorist and the semanticist alike in the thicket of having to think up set theory and the theory of truth without the aid of intuitions about sets and truth.

Tough questions are triggered. How do they know how to proceed? How do disputants know when they have got it right? And thereupon: How are rival ways of proceeding, yielding rival theoretical outcomes, to be adjudicated? Here, too, the narrow fact is comparatively clear. The Russell set and the Liar statement lead to contradictions. Everyone who has ever granted those facts and reflected on them is ready to admit consequences more or less wide. Everyone, in other words, who has granted these facts and reflected on them is prepared also to grant consequences more or less momentous.

The modern history of *ex falso* is one in which it follows from the strictist's definition of implication. Thus

Def: Φ (strictly) implies ψ iff it is not possible that Φ and $\neg\psi$.

If Φ is some contradiction, say, $\lceil \chi \wedge \neg\chi \rceil$, there is no possibility that it is true, hence no possibility both that it is true and something else is false. So $\lceil \chi \wedge \neg\chi \rceil$ implies anything whatever. The reaction of the strictist was, first, that the only thing at all wrong with *ex falso* was its counterintuitiveness – and an especially benign sort of counterintuitiveness at that. Lewis and Langford thought their theorem merely surprising. This did not stop them from offering reassurance in the form of a conditional proof, which may have originated with Alexander Nekham as early as the year 1200 (Lewis and Langford, 1932, p. 252; Nekham, 1863, ch. 173, pp. 288–9):¹⁰

- | | |
|----------------------------|-------------------------------|
| (1) $\Phi \wedge \neg\Phi$ | Hypothesis |
| (2) Φ | 1, Simplification |
| (3) $\Phi \vee \psi$ | 2, Addition |
| (4) $\neg\Phi$ | 1, Simplification |
| (5) ψ | 3,4 Disjunctive
Syllogism. |

Hence, by the Conditionalization Rule, $\lceil \Phi \wedge \neg\Phi \rceil$ implies ψ . The proof is offered in the spirit of reassurance precisely because its forwarders thought, or should have, that it avoids a dialectical problem, which the provability of *ex falso* from the definition of strict implication attracts. To the strictist the worst that can be said against *ex falso* is that it is *weakly counterintuitive*, that is, true though initially implausible. On the other hand, to the critic of *ex falso*, the problem is *strong counterintuitiveness*, strong enough to establish its falsehood. Antagonists who disagree over the strength of *ex falso*'s counterintuitiveness and who plight their cases on nothing more than how the counterintuitiveness strikes them are guaranteed to beg one another's questions. To the credit of those who advanced it, the Lewis-Langford proof was a strategically adroit move. It attempted a resolution by deriving *ex falso*, not from a definition that was now in doubt, but from elementary principles of logic that were *not* in doubt. Here was a perfect example of what Locke called *argumentum ad hominem*, not the fallacy of later traditions but the wholly legitimate "pressing a man with consequences drawn from his own principles, or concessions"

(1975, p. 686). Locke saw to that an *ad hominem* was not an argument *ad iudicium*, that is, an argument that purports to advance us in the truth of things. He saw instead that a person confronted with consequences he was not happy to accept was bound on pain of inconsistency either to swallow the unwanted consequence or give up something from which it followed. In the general case, the *ad hominem* argument would not pick out the falsehood among the refutee's inconsistent concessions. It would establish only that at least one falsehood was present there. Arguments *ad iudicium* are arguments that seek to advance our learning by way of the foundations of knowledge and probability. In the case of the Lewis-Langford proof, the advancers of it believed that it invoked no principle of derivation not acceptable to a critic of *ex falso*. Precisely because *ex falso* is a narrow issue and the validity of the set {Simplification, Addition, Disjunctive Syllogism, Conditionalization} is a wider issue, the makers of the proof might have expected that resistance to *ex falso* would not also be accompanied by resistance to a goodly chunk of the proof apparatus of ordinary logic. What is more, since to each of the principles in question there attaches not an iota of counterintuitiveness – indeed, it is the other way round; they are so intuitive as to be obvious – the advancers of the proof had good reason to suppose that they were affecting a non-question-begging resolution to the dispute at hand.

Whatever the forwarders of the proof may have expected of their opponents, the disposition of disputes to widen is again evident. A decision to disclaim Disjunctive Syllogism, for example, may seem a narrow affair, but in fact is nothing less than a decision for a nontrivial rejigging of logic.

The astonishing thing is that the Lewis-Langford proof failed in its mission. As things turned out, the presumption of the proof (that it was pressing into service consequences of principles antecedently accepted by the generic paraconsistentist) was false. A good many critics came to see that they disliked Disjunctive Syllogism. I will not review until the next chapter the scope and variations of the attack on Disjunctive Syllogism except to cite the judgment of Anderson and Belnap that the proof is “self-evidently preposterous” and that “it is immediately obvious where the fallacious step occurs” (1975, pp. 164–5).¹¹ It is the step licensed by Disjunctive Syllogism, which commits “a fallacy of relevance” (1975, pp. 164–5). With that remark, the dispute descended to its prior dialectical level, though more deeply so. It now has a narrow and semantic feel about it. It strikes us as a dispute about the analysis of the concept of alternation, or of negation, or both. The disagreement is also a disagreement not about how strongly counterintuitive a theorem is, but rather a dispute about whether a general principle of logic is counterintuitive *at all*. Frank Ramsey once asked, “How can philosophical enquiry be conducted without a perpetual *petitio principii*?” (1931, p. 2). Let us call this *Ramsey's Question*. At this juncture, not only has the gap between the strictist and the paraconsistentist widened alarmingly, they seem doomed to provide for *Ramsey's Question* a negative answer. If we lose Disjunctive Syllogism, we lose either negation or disjunction, or both. If we lose either, we lose the other familiar connectives,

owing to their interdefinability. Indeed, thanks to the Functional Completeness Metatheorem, we lose every other truth functional connective, and this has the effect of intensionalizing the new logic, as we will see in greater detail in due course.

The Lewis-Langford proof is thus a serviceable introduction to a large problem for the conflict resolution strategist. It is a problem large enough and vexing enough to deserve a name.

Philosophy's Most Difficult Problem

Let $A = \{P_1, \dots, P_n\}, C$ be a valid argument, a sequence in which C is a logical consequence of preceding steps. *Philosophy's Most Difficult Problem* is that of adjudicating in a principled way the conflict between supposing that A is a sound demonstration of a counterintuitive truth, as opposed to seeing it as a counterexample of its premisses.

Philosophy's Most Difficult Problem extends well beyond impacted disagreement in logic and other areas of technical philosophy. Its provenance is huge, and its presence is ubiquitous. Consider, for example, the classic argument for determinism.

Determinism

1. All human actions are (macro-) natural events
2. All (macro-) natural events have a cause¹²
3. If there are any free actions, they are uncaused
4. Therefore, there are no free actions.

It is easy to see that we can react to this argument in one of two ways. We could hold that the argument is sound and that, notwithstanding its extreme counterintuitiveness, its conclusion is true. It is, so to speak, a *surprising truth*. On the other hand, we could see the argument as valid, but as a *reductio ad absurdum* of the premises that imply it. On this view, the conclusion, far from being a surprising truth, is an utter and transparent falsehood. Their disagreement is not about whether (4) is a logical consequence of the preceding lines, but rather about what the consequence of (4)'s being a consequence of those premises is. People who see the argument in the first way are *determinists*. Those who see it in the second way are *antideterminists*; and if they select premise (2) as that which the *reductio* argument discredits, are *libertarians*.

Determinists and antideterminists thus find themselves landed in *Philosophy's Most Difficult Problem*.

The essence of determinism is the argument:

- Det:* Since the law of causality is universally true of natural events, since all human actions are natural events, and since causality contradicts freedom, no human action is free.

The essence of antideterminism is the argument:

AntiDet: Since at least some human actions are free, and since causality contradicts freedom, then either the law of causality fails for certain natural events, or not all human actions are natural events.

It takes little reflection to see that determinism and antideterminism are almost, but not quite, the total opposites of each other. The significance of this opposition is that neither can succeed as a critique of the other. If we try to refute *Det* by forwarding *AntiDet*, we *beg the question* against *Det*. Similarly, if we try to refute *AntiDet* by forwarding *Det*, we *beg the question* against *AntiDet*. Something interesting follows from this. Although *Det* makes a case *for* determinism, it does not make a case *against* antideterminism; and although *AntiDet* makes a case *for* antideterminism, it does not make a case *against* determinism. When any two arguments find themselves in this position, we may say that a *stalemate* exists with respect to some disputed issue.

What is the structure of stalemates? In schematic form, *Det* is:

Schema Det: P and Q and R; therefore not-S.

On the other hand, the schematic form of *AntiDet* is

Schema AntiDet: S; therefore either not-P, or not-Q, or not-R.

It is notable that *Schema Det* and *Schema AntiDet* are equivalent arguments-schemata in elementary logic. They are the (argumental) *contrapositives* of each other.

We now see why *Det* cannot be a case against *AntiDet*, nor *AntiDet* against *Det*. If *Det* is valid, so is *AntiDet*; and if *AntiDet* is valid, so is *Det*. There is a sense, then, in which *Det* and *AntiDet* are the same argument. But if this is so, how can it possibly be the case that in forwarding *Det* as a refutation of *AntiDet*, or *AntiDet* as a refutation of *Det*, we would be begging the question each time?

The answer is that, as we have seen, *Schema Det* and *Schema AntiDet* constitute a stalemate. They do so because they cannot be coforwarded in any contention space without begging the question. And they beg the question because each has a premise that is the negation of the other's conclusion.

The argument for *ex falso quodlibet* is also an example of *Philosophy's Most Difficult Problem*. Here, too, there are two different ways in which logicians have seen this argument. In one of these ways, the argument is seen as a valid demonstration of the proposition that if a contradiction were true, so would every thing else be; that is to say, as a proof of the equivalence of negation-inconsistency and absolute inconsistency. On the second way of seeing it, the conditional conclusion (that a contradiction implies everything), is absurd or utterly and transparently untrue, and therefore at least one of the proof rules employed by the proof is defective. Seen this way, the argument is a *reductio ad absurdum* of at least one of its proof-rules. Which, then, is it?

How do we answer such a question? How do we tell the difference between a valid conditional proof and a *reductio ad absurdum* of an embedded proof-rules? In the actual history of the dispute over *ex falso* – for example, in the dispute between classical logicians and relevant logicians such as Anderson and Belnap – the following dynamic reveals itself.

Ex falso is the claim that a contradiction implies everything whatever. Virtually everyone agrees that this is a *counterintuitive* thing to say. Classical logicians are of the view that it is counterintuitive but true. Relevant logicians see it as a counterexample. In 1932, C. I. Lewis and C. H. Langford produced their proof.¹³ They made a point of saying that the proof rests on logical principles that were nowhere in doubt; on principles therefore that both sides would see as *highly intuitive*. Lewis and Langford issued a challenge to their would-be critics. Which of these principles – Simplification, Addition, or Disjunctive Syllogism – would they be prepared to give up? It was, of course, a rhetorical challenge. It never occurred to them that anyone would be disposed to abandon any of these elementary principles of logic.

They were wrong. In 1959, in a paper on truth functions, Anderson and Belnap – on having had it pointed out by the journal's referee that Disjunctive Syllogism failed on their treatment – decided to make a virtue out of this situation. So they asserted that *DS should* fail (Anderson and Belnap, 1959, p. 302).

It is not surprising that in later writings Anderson and Belnap responded to the challenge of Lewis and Langford by rejecting their proof's use of *DS*. It is necessary to emphasize that in 1959 Anderson and Belnap did *not* think that *DS* was counterintuitive or suspicious in any way. They were part of a quite general consensus that regards Simplification, Addition, and Disjunctive Syllogism as highly intuitive and indisputably valid proof-rules. Prior to the intervention of *The Journal of Symbolic Logic's* referee, Anderson and Belnap would have found the rejection of *DS* to be heftily counterintuitive.

We can now begin to see the basic structure of their thinking in regard to *ex falso*.

- I. Because it is highly counterintuitive, *ex falso* is false.
- II. It follows that the Lewis-Langford proof is invalid. At least one of the set {Simplification, Addition, Disjunctive Syllogism} is defective.
- III. Never mind that it is a highly counterintuitive thing to do, we must pin the blame on *DS*.

In other words, we must *preserve* our intuition that *ex falso* is false by *violating* our intuition that *DS* is valid! This is incoherent unless Anderson and Belnap are able to show that the intuition that *ex falso* is false constitutes a counterexample of it, whereas the intuition that *DS* was valid is such that the counterintuitiveness involved in rejecting it reflects only a surprising but correct decision. (I shall return to this point.)

Cost-Benefit Considerations

I shall here sketch a schematic account of what seems to me to be one of only two methods of conflict resolution in the abstract sciences with any chance of being effective, that is, of generating affirmative answers to *Ramsey's Question*. Against this I shall attempt to give due weight – in several chapters to follow – to a way of proceeding that has enjoyed a long run among analytic philosophers. It is what we might call *the Method of analytic intuitions*. In my cost-benefit approach, there is an apparent asymmetry between how *disputes are resolved* and how *undisputed results are established*. In the other approach – the intuitions approach – there is an apparent symmetry between how conflicts are removed and how uncontested results are obtained, as we shall see. We must not suppose that this cost-benefit rationality is a maximizer of expected utility, in the manner, say, of neoclassical economics. In its dialectical setting it requires shared awareness and joint behavior. It presupposes an interaction of argument and counterargument between parties. The interactive structure is less than game-theoretic, since in the theory of games, players are utility maximizers. The cost-benefit approach we are proposing leaves room for suboptimal choice. Game theory assumes cardinal utilities, whereas our cost-benefit players employ ordinal preferences. Even so, there are significant similarities with cooperative game theory. There are at least two parties; it is assumed by them both that each is a rational player; the parties are aware of the interdependence of their moves; the parties are in a state of conflict; and both parties are pledged to the idea of the best outcome overall. Beyond this, it is not plausible to model the flux of conflict resolution on a matrix game. Our conflict resolution routines do not constitute a zero-sum game, and more closely resemble coordination games.

A better point of comparison for the resolution strategies we have in mind is social choice theory. The theory has two conceptual forebears. In one approach it is an extension of utilitarianism or, in a variation, of welfare economics (Sen, 1986). In the other, the background theories are mathematical modelings of elections and committee decisions (Arrow, 1951). Social choice theories specify ways in which information states can be aggregated, conditions under which positions can be considered defeated, and how conclusions can be grounded in aggregated information. Such theories also presuppose stable and uncontroversial consequence and inference relations. However, since the conflicted issues we examine in this book are either explicitly disputes about consequence, or inference, or both, or carry fairly direct consequences for how such relations are to be treated, it is more difficult, though not impossible, to presuppose for our purposes a stable consensus on consequence and inference.

I shall not take the time to indicate in detail how the various moves of our evolving game of conflict resolution show up in the formalism of social choice theory. A prior thing needs doing. It is to get as clear as we can about such